



ELSEVIER

Physica C 364–365 (2001) 161–165

---

---

**PHYSICA** C

---

---

www.elsevier.com/locate/physc

# Bose–Einstein condensation of nonzero-center-of-mass-momentum Cooper pairs

J. Batle <sup>a</sup>, M. Casas <sup>a,\*</sup>, M. Fortes <sup>b</sup>, M.A. Solís <sup>b</sup>, M. de Llano <sup>c</sup>, A.A. Valladares <sup>c</sup>,  
O. Rojo <sup>d</sup>

<sup>a</sup> *Departament de Física, Universitat de les Illes Balears, 07071 Palma de Mallorca, Spain*

<sup>b</sup> *Instituto de Física, Universidad Nacional Autónoma de México, Apdo. Postal 20-364, 01000 México DF, Mexico*

<sup>c</sup> *Instituto de Investigaciones en Materiales, Universidad Nacional Autónoma de México, Apdo. Postal 70-360, 04510 México DF, Mexico*

<sup>d</sup> *PESTIC, Secretaría Académica & CINVESTAV – IPN, 04430 México DF, Mexico*

---

## Abstract

Cooper pair (CP) binding with both zero and nonzero center-of-mass momenta (CMM) is studied with a set of renormalized equations assuming a short-ranged (attractive) pairwise interfermion interaction. Expanding the associated dispersion relation in 2D in powers of the CMM, in weak-to-moderate coupling a term *linear* in the CMM dominates the pair excitation energy, while the quadratic behavior usually assumed in Bose–Einstein (BE) condensation studies prevails for any coupling *only* in the limit of zero Fermi velocity when the Fermi sea disappears, i.e., in vacuum. In 3D this same behavior is observed numerically. The linear term, moreover, exhibits CP breakup beyond a threshold CMM value which vanishes with coupling. This makes all the excited (nonzero-CMM) BE levels with preformed CPs collapse into a single ground level so that a BCS condensate (where only zero CMM CPs are usually allowed) appears in zero coupling to be a special case in either 2D or 3D of the BE condensate of linear-dispersion-relation CPs. © 2001 Elsevier Science B.V. All rights reserved.

PACS: 74.20.Fg; 64.90+b; 05.30.Fk; 05.30.Jp

Keywords: Cooper pairs; Bose–Einstein condensation; Cuprate superconductivity

---

## 1. Introduction

We consider an s-wave short-range, attractive (rank one) separable interfermionic potential [1] in  $d$ -dimensional momentum space  $V_{pq} = -(v_0/L^d) \times g_p g_q$ , where  $v_0 \geq 0$  is the interaction strength,  $L$  the size of the system, and the  $g_p$ 's are dimensionless form factors of the type  $g_p = (1 + p^2/p_0^2)^{-1/2}$  in

which  $p_0$  is the inverse range of the potential. Thus, e.g.,  $p_0 \rightarrow \infty$  implies  $g_p = 1$  which corresponds to a contact or delta potential  $-v_0 \delta(\mathbf{r})$  in configuration space. In either 2D or 3D such a potential well has an infinite number of bound states. As a result a many-fermion system with this interfermion interaction will collapse in the thermodynamic limit to infinite binding per particle and infinite density. However, the potential can be “regularized”, i.e., constructed [2] with  $v_0$  infinitesimally small so that it supports a *single* bound state.

The Cooper pair (CP) equation [3] for two interacting electrons of mass  $m$  above the Fermi

---

\* Corresponding author. Tel.: +34-971-17-3223; fax: +34-971-17-3426.

E-mail address: dfsorca@ps.uib.es (M. Casas).

surface, with momenta wave vectors  $\mathbf{k}_1$  and  $\mathbf{k}_2$  and finite, nonzero-center-of-mass-momenta (CMM) wave vector  $\mathbf{K} \equiv \mathbf{k}_1 + \mathbf{k}_2$ , and relative momentum wave vector  $\mathbf{k} \equiv (1/2)(\mathbf{k}_1 - \mathbf{k}_2)$ , gives the total pair energy  $E_K \equiv 2E_F - \Delta_K$  in terms of  $v_0$ , with  $E_F \equiv \hbar^2 k_F^2 / 2m$  the Fermi energy. Here  $\Delta_K \geq 0$  is the CP binding energy; it should not be confused with the BCS energy gap  $\Delta$ . One can eliminate the variable  $v_0$  in favor, in 2D, of the vacuum bound-state energy  $B_2 \geq 0$  of the potential by combining [4] the CP equation with the respective Lippmann–Schwinger one for the same interfermion interaction acting not in the Fermi sea but in vacuum. Then  $\Delta_K$  can be extracted as a function of  $B_2$  from the resulting *renormalized CP equation*

$$\sum_k \frac{g_k^2}{B_2 + \hbar^2 k^2 / m} - \sum_{k, (|\mathbf{K}/2 \pm \mathbf{k}| > k_F)} \frac{g_k^2}{\hbar^2 k^2 / m + \Delta_K - 2E_F + \hbar^2 K^2 / 4m} = 0. \quad (1)$$

## 2. Cooper pair dispersion relation

After some algebra one finds the remarkable identity, but only in 2D, that  $\Delta_0 = B_2$ , i.e., for an attractive delta interaction (regularized or not) the vacuum and zero-CMM CP binding energies coincide for *all* coupling. Using  $E_F / k_F \equiv \hbar v_F / 2$  one can expand  $\Delta_K$  in powers of  $K$  for any coupling  $B_2$  and get

$$\begin{aligned} \varepsilon_K &\equiv (\Delta_0 - \Delta_K) \\ &= \frac{2}{\pi} \hbar v_F K + \left[ 1 - \left\{ 2 - \left( \frac{4}{\pi} \right)^2 \right\} \frac{E_F}{B_2} \right] \\ &\quad \times \frac{\hbar^2 K^2}{2(2m)} + O(K^3) \quad (2\text{D}) \end{aligned} \quad (2)$$

where a nonnegative *CP excitation energy*  $\varepsilon_K$  has been defined. It is this excitation energy that enters in the BE distribution function in determining the critical temperature in a picture of superconductivity as a BE condensation (BEC) of CPs. The leading term in Eq. (2) is linear in CMM, followed by a quadratic term. The latter is precisely the kinetic energy of what was originally the ordinary

CP (and now is what is sometimes called a “local pair”) – namely the familiar nonrelativistic energy of the composite pair of mass  $2m$  in vacuum. This dispersion relation has been the starting point for virtually all BEC studies of superconductivity (see, e.g., Refs. [1,5–13], among others). However, it is clear from Eq. (2) that the quadratic term  $\hbar^2 K^2 / 2(2m)$  will prevail for any nonzero coupling *only* when  $E_F / k_F \equiv \hbar v_F / 2 \rightarrow 0$ , i.e., in the vacuum limit when there is no Fermi sea.

Fig. 1 shows exact numerical results (full curves) of Eq. (1) in 2D for different  $B_2 / E_F$  of the CP excitation energy  $\varepsilon_K / \Delta_0$  as function of CMM  $K / k_F$ . Note that the CPs *break up* at  $\varepsilon_K / \Delta_0 = 1$  where  $\Delta_K = 0$ , this being marked by large dots in the figure. In addition to the exact results we also exhibit the linear approximation  $2\hbar v_F K / \pi$  (dot-dashed lines) for small  $B_2 / E_F$ , as well as the quadratic approximation  $\hbar^2 K^2 / 2(2m)$  (dashed parabolas) for large  $B_2 / E_F$ .

In 3D one obtains [14] similar results except that the dimensionless s-wave scattering length  $k_F a$  in vacuum plays the role of a coupling parameter instead of the dimensionless binding energy  $B_2 / E_F$  in the 2D case. Here, the limit  $\Delta_0 \rightarrow 0$  implies

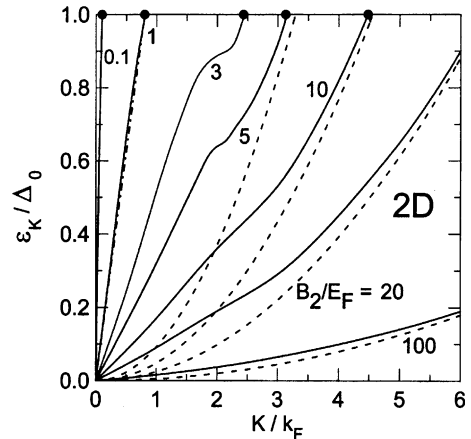


Fig. 1. Exact numerical results (full curves) for CP dispersion relation  $\varepsilon_K \equiv \Delta_0 - \Delta_K$  (in units of  $K = 0$  CP binding energy  $\Delta_0$ ) obtained from Ref. [1] when  $g_k = 1$  for different coupling values  $B_2 / E_F$ . CPs break up when  $\Delta_K$  turns negative, as indicated by large dots. The dot-dashed line is the linear approximation (virtually coincident with the exact curve for all  $B_2 \leq 0.1E_F$ ) while the quadratic approximation is shown dashed (see text for details).

$a \rightarrow 0^-$  or  $1/k_F a \rightarrow -\infty$  and corresponds to weak coupling, while the limit  $\Delta_0 \rightarrow \infty$  implies  $a \rightarrow 0^+$  or  $1/k_F a \rightarrow +\infty$  and is strong coupling. In fact, for  $a = -|a| \rightarrow 0^-$  one finds  $\Delta_0 \rightarrow (8E_F/e^2) \exp(-\pi/k_F|a|)$ , a result first obtained by Van Hove [15]. On the other hand,  $a \rightarrow 0^+$  yields  $\Delta_0 \rightarrow \hbar^2/ma^2$ . Repeating the expansion carried out in 2D but without explicitly determining the coefficient of the quadratic term gives

$$\varepsilon_K \equiv (\Delta_0 - \Delta_K) \rightarrow \frac{1}{2}\hbar v_F K + O(K^2) \quad (3D) \quad (3)$$

i.e., the same result cited in 1964 in Ref. [16] for the BCS model interaction. The linear terms in both Eqs. (2) and (3) are identical [17] for the BCS model interaction in weak coupling. In this case  $g_k = \theta(\hbar^2 k^2/2m - \max[0, (E_F - \hbar\omega_D)])\theta(E_F + \hbar\omega_D - \hbar^2 k^2/2m)$ , where  $\theta(x)$  is the Heaviside step function and  $\omega_D$  the Debye frequency. It becomes  $g_k = 1$  as  $\hbar\omega_D \rightarrow \infty$ .

### 3. Boson number

Using a statistical model [18] guaranteeing both thermal and chemical equilibrium in an ideal boson–fermion mixture, the number of bosons  $N_B(T)$  formed within the  $N$ -fermion system, valid at and below the BEC transition temperature  $T_c$ , is

$$\begin{aligned} N_B(T) &\equiv \frac{1}{2}[N - N_0(T)] \\ &= \frac{N}{2} \left[ 1 - (T/T_F) \ln(1 + e^{-\beta\{\Delta_0(T)/2 - \mu(T)\}}) \right], \end{aligned} \quad (4)$$

where  $N_0(T)$  is the number of unpaired fermions,  $\Delta_0(T)$  the appropriate finite- $T$  generalization [18] of the CP  $K = 0$  binding energy,  $\beta \equiv 1/k_B T$ , and the ideal Fermi gas chemical potential  $\mu(T)$  in 2D is given exactly by

$$\mu(T) = \beta^{-1} \ln(e^{\beta E_F} - 1) \xrightarrow{T \rightarrow 0} E_F. \quad (5)$$

Fig. 2 illustrates the zero CMM CP binding energy  $\Delta_0(T)$  for three values of  $B_2/\mu(T)$ .

At  $T = 0$  Eq. (4) becomes

$$\begin{aligned} N_B(0) &= N\Delta_0(0)/4E_F \equiv NB_2/4E_F \quad (B_2 \leq 2E_F) \\ &= N/2 \quad (B_2 \geq 2E_F). \end{aligned} \quad (6)$$

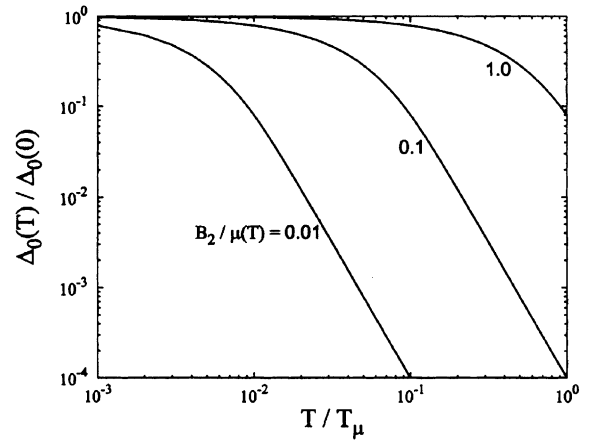


Fig. 2. Temperature dependence of 2D zero-CMM CP binding energy  $\Delta_0(T)$  vs.  $T/T_\mu$  for several couplings  $B_2/\mu(T)$ , where  $k_B T_\mu \equiv \mu$ .

This should be compared with the BCS theory estimate (Ref. [5], p. 128)

$$N_B(0) \sim (\Delta/E_F)^2 \frac{N}{2} = N(B_2/E_F), \quad (7)$$

where here  $\Delta$  is the BCS  $T = 0$  energy gap, and the exact 2D result [19]  $\Delta = \sqrt{2E_F B_2}$  was used in the last step. Since  $N_B \leq N/2$ , the estimate implies a breakdown for  $B_2 \geq E_F/2$  in the BCS case.

### 4. Critical temperature

Neglecting the background unpaired fermions and modeling the entire system as a *pure boson gas* of unbreakable CPs but with temperature-dependent boson number density  $n_B(T) \equiv N_B(T)/L^2$ , the explicit BEC  $T_c$ -formula for linear dispersion bosons in 2D [20] becomes an *implicit* one by allowing  $n_B$  to be  $T$ -dependent, namely

$$T_c = \frac{4\sqrt{3}}{\pi^{3/2}} \frac{\hbar v_F}{k_B} \sqrt{n_B(T_c)}. \quad (8)$$

This differs from the familiar BEC 3D formula  $T_c \simeq 3.31\hbar^2 n_B^{2/3}/m_B k_B$  for quadratic-dispersion bosons. Both equations are special cases of the more general expression [20] of the form  $T_c \propto n_B^{s/d}$  for any space dimensionality  $d > 0$  and any boson dispersion relation  $\varepsilon_K \propto K^s$  with  $s > 0$ . Solving

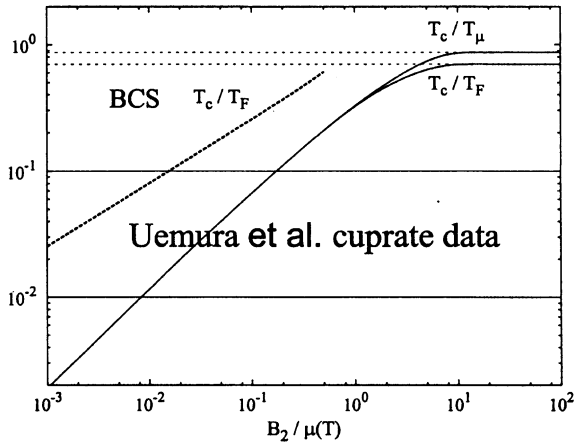


Fig. 3. Critical BEC temperatures (full curves), for the pure unbreakable-boson gas, in units either of  $T_F$  or  $T_\mu \equiv \mu(T)/k_B$ , compared with the BCS result (slanted dashed curve), vs. dimensionless coupling  $B_2/\mu(T)$ . Empirical cuprate data are taken from Ref. [21].

Eq. (8) with Eqs. (4) and (1) for  $K = 0$  self-consistently gives  $T_c/T_F$  vs.  $B_2/E_F$  as displayed in Fig. 3 and compared with empirical values for cuprates that range [21] from 0.01–0.1.

Also shown in the figure are the BCS theory  $T_c$ 's (see also Ref. [22]) obtained by solving the single implicit equation

$$\int_0^1 \frac{dx}{x} \tanh \frac{T_F}{2T_c} x = \ln \left( \frac{\pi T_c}{e^\gamma B_2} \right), \quad (9)$$

where  $\gamma$  is the Euler constant. Note that  $k_B T_c \rightarrow (e^\gamma/\pi)\sqrt{2B_2 E_F}$  as coupling goes to zero, and also that  $2\Delta/k_B T_c \rightarrow 2\pi/e^\gamma \simeq 3.53$ .

### 5. BCS and Bose–Einstein condensates

Finally, Fig. 4 depicts in either 2D or 3D both condensates, the BCS one with its *single*  $K = 0$  pair-correlation state and the BE condensate [20] with both (ground)  $K = 0$  and several (excited)  $K > 0$  CP states that form a “band” (shown in the figure as a discrete spectrum for clarity) extending up to the breakup state  $K_0$  defined by  $\Delta_{K_0} = 0$ . For perfectly linear dispersion CPs, i.e., in 2D  $\epsilon_K \equiv \Delta_0 - \Delta_K = 2\hbar v_F K/\pi$ , the breakup CMM wave number is then just  $K_0 = \pi\Delta_0/2\hbar v_F$ . As this van-

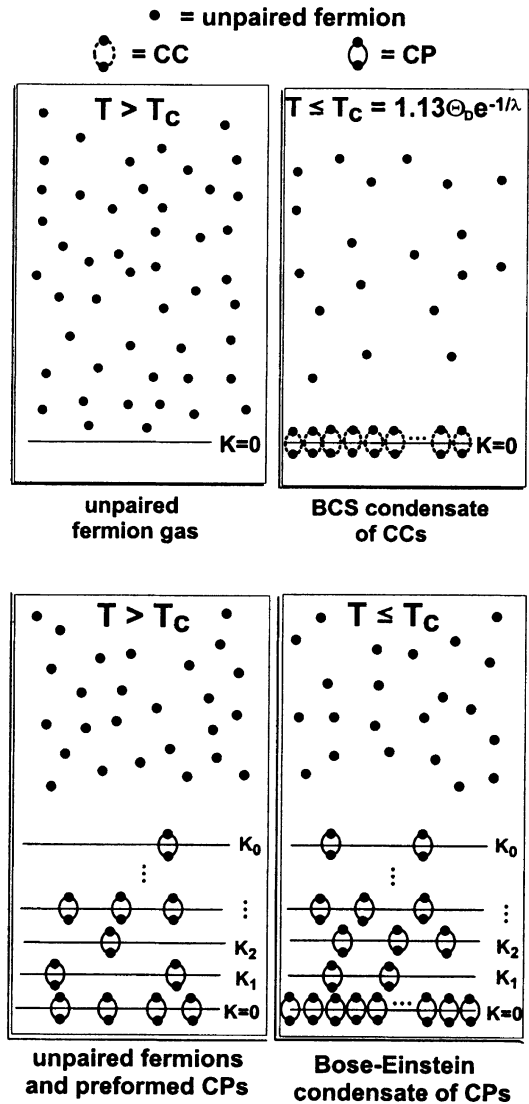


Fig. 4. BCS pair condensate of Cooper correlations (CCs) and BE condensate of CPs, both below  $T_c$ , compared as explained in text, along with their respective normal states at  $T > T_c$ . Horizontal *ellipses* indicate a fractional particle occupation which is macroscopic, or significant compared with unity.

ishes with coupling all the excited boson levels collapse downwards and merge with the ground  $K = 0$  level, i.e., the bandshrinks to the single ground level. Thus, for zero coupling the BCS condensate appears to be a special case of the BE condensate provided that the BCS CCs are essentially CPs, as is widely believed.

## 6. Discussion

Besides including the background unpaired fermions in the real *mixture* problem with our simple initial s-wave interfermion interaction, further refinements pending are: (i) realistic Fermi surfaces; (ii) Van Hove singularities or other means of accounting for periodic-crystalline effects; as well as the following interactions; (iii) the all-important d-wave; (iv) residual interbosonic ones; and (v) the crucial CP-fermion interaction vertex. It is precisely the latter ingredient that enabled Lee and coworkers [12], and Tolmachev [13] more generally, to link BCS and BEC through a relation whereby the BE condensate fraction is proportional to the (BCS-like) fermionic gap  $\Delta(T)$  squared.

## Acknowledgements

Partial support from UNAM-DGAPA-PAPIIT (Mexico) #IN102198, CONACyT (Mexico) #27828 E, DGES (Spain) #PB98-0124 is gratefully acknowledged. M. de Llano thanks S.K. Adhikari and V.V. Tolmachev for extensive correspondence.

## References

- [1] P. Nozières, S. Schmitt-Rink, J. Low Temp. Phys. 59 (1985) 195.
- [2] P. Gosdzinsky, R. Tarrach, Am. J. Phys. 59 (1991) 70.
- [3] L.N. Cooper, Phys. Rev. 104 (1956) 1189.
- [4] S.K. Adhikari et al., Phys. Rev. B 62 (2000) 8671.
- [5] J.M. Blatt, Theory of Superconductivity, Academic, NY, 1964, p. 94 and references therein.
- [6] R. Micnas et al., Rev. Mod. Phys. 62 (1990) 113.
- [7] S. Dzhumanov et al., Physica C 235–240 (1994) 2339.
- [8] A.S. Alexandrov, N.F. Mott, Rep. Prog. Phys. 57 (1994) 1197.
- [9] R. Haussmann, Phys. Rev. B 49 (1994) 12975.
- [10] J.R. Engelbrecht et al., Phys. Rev. B 55 (1997) 15153.
- [11] Q. Chen et al., Phys. Rev. B 59 (1999) 7083.
- [12] R. Friedberg, T.D. Lee, H.C. Ren, Phys. Lett. A 158 (1991) 417, 423.
- [13] V.V. Tolmachev, Phys. Lett. A 266 (2000) 400.
- [14] S.K. Adhikari et al., Physica C 351 (2001) 341.
- [15] L. Van Hove, Physica 25 (1959) 849.
- [16] J.R. Schrieffer, Theory of Superconductivity, Benjamin, Reading, MA, 1964, p. 33.
- [17] M. Casas et al., Physica C 295 (1998) 93.
- [18] M. Casas et al., Physica A 295 (2001) 425.
- [19] K. Miyake, Prog. Theor. Phys. 69 (1983) 1794.
- [20] M. Casas et al., Phys. Lett. A 245 (1998) 55.
- [21] Y.J. Uemura, Physica B 282 (1997) 194.
- [22] M. Drechsler, W. Zwerger, Ann. der Physik 1 (1992) 15.