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## Two-dimensional Bose-Einstein condensation in cuprate superconductors

M. Casas<sup>a</sup>, M. de Llano<sup>b</sup>, A. Puente<sup>a</sup>, A. Rigo<sup>a</sup>, M.A. Solís<sup>c,\*</sup><sup>a</sup>Departament de Física, Universitat de les Illes Balears, 07071 Palma de Mallorca, Spain<sup>b</sup>Instituto de Investigaciones en Materiales, Universidad Nacional Autónoma de México, Apdo. Postal 70-360, 04510 México, DF, Mexico<sup>c</sup>Instituto de Física, Universidad Nacional Autónoma de México, Apdo Postal 20-364, 01000 México, DF, Mexico

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### Abstract

A binary gas of noninteracting, temperature-dependent Cooper pairs in chemical/thermal equilibrium with unpaired fermions is studied in a two-dimensional (2D) boson-fermion statistical model analogous to an atom plus diatomic-molecule system. The model naturally suggests a more convenient definition for the bosonic chemical potential whereby access into the degenerate Fermi region of positive fermion chemical potential is now possible. The linear (as opposed to quadratic) dispersion relation of the pairs yields substantially higher  $T_c$ s than with BCS or pure-boson Bose-Einstein condensation theories, and fall within the range of empirical  $T_c$ s for quasi-2D copper oxide superconductors. © 2002 Elsevier Science Ltd. All rights reserved.

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### 1. Introduction

We provide support for a widespread conjecture (or ‘paradigm’) that superconductivity in general is a Bose-Einstein condensation (BEC) of the charged Cooper pairs [1] (CPs) observed in magnetic flux quantization experiments in classical [2,3] as well as copper-oxide (or cuprate) [4] superconductors. The same general conjecture is also often made regarding the superfluidity of liquid helium-3 in terms of CPs consisting of neutral-atom  $^3\text{He}$  fermions. As CPs coexist in a superconductor with unpaired electrons (or holes), a *binary gas* model suggests itself. BEC as a *statistical* (as opposed to a *dynamical*) mechanism of superconductivity has been entertained, among others, by Anderson [5], by Lee [6] and by Mott [7] and their co-workers. But BEC normally occurs only for dimensions  $d > 2$  while some modern superconductors are quasi-2D or even quasi-1D materials [8–10]. In this paper we recall, however, how CPs *can* undergo BEC for *all*  $d > 1$  and obtain reasonable critical temperatures  $T_c$  without any adjustable

parameters, thus bolstering the earlier mentioned conjecture even before including full many-body self-consistency. More detailed, sophisticated treatments actually link [11–16] BEC (characterized by a nonnegligible *bosonic condensate fraction*) with the BCS theory (characterized by a *fermionic energy gap*), but report no successful attempts to calculate specific  $T_c$ s to compare with experiment. A binary gas BEC picture of superconductivity is consistent with the recent discovery of a so-called ‘pseudogap’ in the electronic density of states [17–23] above  $T_c$  in certain cuprates, at least with one of its major interpretations as ‘pre-formed CPs’ without long-range coherence or condensation, while in BCS theory CP formation and condensation occur simultaneously below the *same*  $T_c$ . We submit here that, along with the zero-center-of-mass–momentum (CMM) CPs, a natural candidate for such pre-formed CPs are the nonzero-CMM CPs usually neglected in BCS theory.

In Section 2 we recall the *linear* dispersion relation for Cooper pairs in a 2D gas of electrons at  $T = 0$  interacting via a constant pairing interaction, nonzero only in an annulus about the Fermi surface—viz. the *BCS model interaction*. The binding energy of a *single* pair near the Fermi surface (CP problem) decreases almost linearly with

\* Corresponding author.

E-mail address: masolis@fisica.unam.mx (M.A. Solís).

the CMM of the pair for all values of the momentum below a breakup momentum which is typically only about four orders of magnitude smaller than the Fermi momentum. In Section 3 a simple boson-fermion binary gas statistical model is introduced by constructing the Helmholtz free energy for an ideal mixture of pairable but unpaired fermions plus paired fermions (both zero and nonzero CMM pairs), all in chemical and thermal equilibrium, to give the relative number of bosons in the mixture as function of both coupling and temperature. In Section 4 the critical BEC singularity temperature is obtained first by ignoring the unpaired fermions in a pure boson-gas model and then exactly for the boson-fermion binary gas mixture model from a  $T$ -dependent dispersion relation derived and calculated numerically, and results are compared with empirical cuprate superconductor  $T_{c,s}$ . Finally, Section 5 contains conclusions.

## 2. Dynamics of Cooper pairs and their dispersion relation

To fix the interfermion dynamics take a 2D system of  $N$  fermions of mass  $m$  confined in a square of area  $L^2$  interacting pairwise via the BCS model electron–phonon interaction  $V_{\mathbf{k},\mathbf{k}'} = -V$ , with  $V > 0$ , whenever  $\mu(T) - \hbar\omega_D < \epsilon_{k_1} (\equiv \hbar^2 k_1^2/2m)$ ,  $\epsilon_{k_2} < \mu(T) + \hbar\omega_D$ , and zero otherwise, where  $\mathbf{k} \equiv 1/2(\mathbf{k}_1 - \mathbf{k}_2)$  is the relative wave-vector of the two particles;  $\mu(T)$  the ideal Fermi gas (IFG) chemical potential, which at  $T = 0$  becomes the Fermi energy  $E_F \equiv \hbar^2 k_F^2/2m$  with  $k_F$  the Fermi wavenumber; and  $\omega_D$  the Debye frequency. In most materials  $\hbar\omega_D \ll E_F$ . After much debate on the precise interfermion dynamical nature of copper-oxide materials, striking direct evidence for significant electron–phonon coupling in high-temperature cuprate superconductors from angle-resolved photoemission spectroscopy (ARPES) experiments has recently been reported [24].

If  $\hbar\mathbf{K} \equiv \hbar(\mathbf{k}_1 + \mathbf{k}_2)$  is the CMM of a CP, let  $E_K$  be its total energy (besides the CP rest-mass energy). The original CP [1] eigenvalue equation at  $T = 0$  is

$$1 = V \sum_{\mathbf{k}}' \frac{\theta(k_1 - k_F)\theta(k_2 - k_F)}{2\epsilon_k + \hbar^2 K^2/4m - E_K}, \quad (1)$$

where  $\theta(x)$  is the Heaviside unit step function, and the prime on the summation sign denotes the conditions  $k_{1,2} \equiv |\mathbf{K}/2 \pm \mathbf{k}| < (k_F^2 + k_D^2)^{1/2}$  ensuring that the pair of particles above the Fermi ‘surface’ cease interacting beyond the annulus of energy thickness  $2\hbar\omega_D \equiv \hbar^2 k_D^2/m$ , thereby restricting the summation over  $\mathbf{k}$  for a given fixed  $\mathbf{K}$ . Nonzero CMM pairs have  $\mathbf{K}$  values in all directions and are thus unrelated to the current-carrying state having a drift-velocity momentum in a fixed direction. Setting  $E_K \equiv 2E_F - \Delta_K$ , a pair is bound if  $\Delta_K > 0$ , so that Eq. (1) becomes an equation for the (positive) pair binding energy

$\Delta_K$ . Our  $\Delta_K$  and  $\Delta_0$  follow Cooper’s notation and should not be confused with the BCS energy gap  $\Delta(T)$  at  $T = 0$ . Let  $g(\epsilon)$  be the electronic density-of-states (for each spin) in the normal (i.e. interactionless)  $N$ -fermion state; in 2D it is constant,  $g(\epsilon) = L^2 m/2\pi\hbar^2 \equiv g$ . For  $K = 0$  it becomes a single elementary integral, with the familiar [1] solution  $\Delta_0 = 2\hbar\omega_D/(e^{2/\lambda} - 1)$  valid for all coupling  $\lambda \equiv gV \geq 0$ . The Cooper equation (1) for the unknown quantity  $\Delta_K$  can be analyzed beyond the usual zero-CMM,  $K = 0$ , case and for small  $K$  and  $\lambda$  gives [25]

$$\Delta_K \xrightarrow{K \rightarrow 0} \Delta_0 - \frac{2}{\pi} \hbar v_F K + O(K^2), \quad (2)$$

where  $v_F \equiv \sqrt{2E_F/m}$  is the Fermi velocity. This linear dispersion relation is the 2D analog of the 3D result stated by Schrieffer as far back as 1964 in Ref. [26], p. 33 (see also Ref. [27], p. 336). Although some treatments (Ref. [28]) of CPs are more sophisticated than the original Cooper picture (1) numerically yield resonant pairs (i.e. with an imaginary term in the energy) with a leading quadratic dispersion, linearly-dispersive resonances appear analytically from a Bethe–Salpeter equation many-body approach [29] to CPs in 3D—provided it is based on the BCS (where holes are treated on an equal footing with particles), not the IFG, ground state. In 2D, see also Refs. [30,31]. These linearly-dispersive CPs are commonly confused with the also linearly-dispersive sound phonons of the collective excitation sometimes referred to as the Anderson–Bogoliubov–Higgs mode (which for zero coupling reduces [32] to the IFG result  $\hbar v_F K/\sqrt{d}$ ). The IFG sound speed  $c = v_F/\sqrt{d}$  follows trivially from the zero-temperature IFG pressure  $P = n^2[d(E/N)/dn] = 2nE_F/(d+2)$  via the familiar thermodynamic relation  $dP/dn = mc^2$ , where  $E$  is the ground-state energy and  $n \equiv N/L^d = k_F^d/2^{d-2}\pi^{d/2}d\Gamma(d/2)$  is the fermion-number density. But the simple result (2) in fact refers to actual ‘moving’ (or ‘excited’) CPs in the Fermi sea, which clearly ‘break up’ for  $K > K_0$  as defined by  $\Delta_{K_0} \equiv 0$ . Both kinds of distinct soundwave-like solutions—moving CPs and ABH phonons—appear in the many-body, ladder-summation scheme of Ref. [29].

In the opposite limit of strong coupling (and/or low density) instead of the linear term in Eq. (2) one gets, at least for an attractive interfermion delta interaction [31], the quadratic  $\hbar^2 K^2/2(2m)$  as expected in the vacuum limit of no Fermi sea. But as quite well-known this expression gives a zero BEC  $T_c$ , see Eq. (11) below.

These CP integer-spin objects are considered bosons even though their creation and annihilation operators for fixed  $\mathbf{k}_1$  and  $\mathbf{k}_2$  (or, alternatively, fixed relative  $\mathbf{k}$  and total CM  $\mathbf{K}$  wavevectors) are known not to obey the usual Bose commutation relations (Ref. [26] p. 38). However, indefinite occupation in a state of given  $\mathbf{K}$ , needed to ensure the Bose-Einstein distribution in turn required for BEC, indeed occurs (see Ref. [33], p. 181 ff.) for the objects whose energy  $E_K$  is a solution of Eq. (1) as it depends only on  $\mathbf{K}$  but not on  $\mathbf{k}$ . As a result, in the thermodynamic limit, for any coupling

(Ref. [25], see Fig. 1) there will be indefinitely many values of the relative momenta  $\mathbf{k}$  for a given  $\mathbf{K}$  so that CPs formed with the BCS model interaction do in fact obey the BE distribution.

### 3. Boson-fermion binary gas in chemical/thermal equilibrium

The number of bosons in the boson-fermion mixture to be studied turns out to be both coupling- and temperature-dependent. And it is *in conserving the total fermion number* self-consistently that a BEC-like singularity arises. As is the case for the pure boson gas, a linear rather than a quadratic dispersion relation is needed to obtain BEC in 2D. This emerges in a statistical model for the ideal binary *mixture* of bosons (the CPs) and unpaired (both pairable and unpairable) fermions in chemical equilibrium [35,36] for which thermal pair-breaking into unpaired pairable fermions is explicitly allowed [37]. Assuming the BCS model interaction the total number of fermions in 2D at any  $T$  is  $N = L^2 k_F^2 / 2\pi = N_1 + N_2$ , where  $N_1$  is the number of unpairable (i.e. noninteracting) fermions while  $N_2$  is the number of pairable (i.e. active) ones. The unpairable fermions also obey the usual Fermi–Dirac distribution with the IFG chemical potential  $\mu$  but the  $N_2$  pairable ones are simply those in the interaction shell of energy width  $2\hbar\omega_D$  so that, if  $\beta \equiv (k_B T)^{-1}$ ,

$$N_2 = 2 \int_{\mu - \hbar\omega_D}^{\mu + \hbar\omega_D} d\epsilon \frac{g(\epsilon)}{e^{\beta(\epsilon - \mu)} + 1} = 2g\hbar\omega_D, \quad (3)$$

which is independent of  $T$ . At fixed interfermionic coupling  $\lambda$  and temperature  $T$  these  $N_2$  fermions form an ideal mixture of pairable but unpaired fermions plus CPs created near the single-fermion energy  $\mu(T)$ , with binding energy  $\Delta_K(T) \geq 0$  and total energy

$$E_K(T) \equiv 2\mu(T) - \Delta_K(T). \quad (4)$$

This generalizes the  $T = 0$  equation  $E_K \equiv 2E_F - \Delta_K$  introduced below Eq. (1).

The Helmholtz free energy  $F = E - TS$ , where  $E$  is the internal energy and  $S$  the entropy, of this binary ‘composite boson/pairable-but-unpaired-fermion system’ at temperatures  $T \leq T_c$  is then readily constructed [37] in terms of: (a) the average number of unpaired but pairable fermions with fixed energy; (b)  $N_{B,K}(T)$ , the number of CPs with nonzero-CMM,  $0 < K \leq K_0$ , with the CP-breakup value  $K_0$  defined [25] by  $\Delta_{K_0} \equiv 0$ ; and (c)  $N_{B,0}(T)$ , the number of CPs with zero CMM at temperature  $T$ . The free energy  $F_2$  of just the  $N_2$  pairable fermions is to be minimized subject to the constraint that  $N_2$  is conserved, i.e. one seeks the minimum of  $F_2 - \mu_2 N_2$  with respect to (a)–(c) just mentioned. The total number of pairable but unpaired

fermions  $N_{20}(T)$  is then

$$N_{20}(T) = 2g \int_{\mu - \hbar\omega_D}^{\mu + \hbar\omega_D} d\epsilon \frac{1}{e^{\beta(\epsilon - \mu_2)} + 1} \\ = \frac{2g}{\beta} \ln \left[ \frac{1 + e^{-\beta(\mu - \mu_2 - \hbar\omega_D)}}{1 + e^{-\beta(\mu - \mu_2 + \hbar\omega_D)}} \right]. \quad (5)$$

Note that if  $\mu_2 = \mu$  this becomes the rhs of Eq. (3), as it should. The relevant *number equation* for the pairable fermions is thus

$$N_2 = N_{20}(T) + 2[N_{B,0}(T) + \sum_{K>0}^{K_0} N_{B,K}(T)] \\ \equiv N_{20}(T) + 2N_B(T), \quad (6)$$

where  $\sum_{K>0}^{K_0} N_{B,K}(T) = \sum_{K>0}^{K_0} [e^{\beta\{E_K(T) - 2\mu_2\}} - 1]^{-1}$  is the *total* number of excited CPs (namely with CMM values  $0 < K < K_0$ ). One can rewrite  $E_K(T) - 2\mu_2$  here as  $\epsilon_K(T) - \mu_B(T)$ , with  $\epsilon_K(T) \equiv \Delta_0(T) - \Delta_K(T) \geq 0$  a (non-negative) excitation energy as suggested by Eq. (2). Hole–hole and particle–particle CPs can be shown to have the same excitation energy  $\epsilon_K(T)$ . The remaining unknown  $\mu_B(T)$  is then

$$\mu_B(T) = 2[\mu_2(T) - \mu(T)] + \Delta_0(T) = 0, \quad (7)$$

for  $0 \leq T \leq T_c$  since  $N_{B,0}(T)$  is negligible for all  $T > T_c$ . This is precisely the BEC condition for a *pure* boson gas, although one now has a binary boson-fermion *mixture*. Thus, rather than  $2\mu_2$  Eq. (7) is a more convenient definition for a binary mixture of the boson chemical potential. Furthermore, Eq. (7) improves upon the definition in Ref. [36] p. 123, which is precisely Eq. (7) but with  $2\mu(T)$  instead of our  $2[\mu_2(T) - \mu(T)]$ ; that definition severely handicaps the binary system since  $\mu_B \leq 0$  implies  $2\mu \leq -\Delta_0$  and so the system ‘can never enter the Fermi degeneracy region’ [36] where a *positive*  $\mu(T)$  guarantees a Fermi surface.

To determine  $N_B(T)$  from Eqs. (5) and (6) we use Eq. (7) and see that

$$N_{20}(T) = \frac{2g}{\beta} \ln \left[ \frac{1 + e^{-\beta\{\Delta_0(T)/2 - \hbar\omega_D\}}}{1 + e^{-\beta\{\Delta_0(T)/2 + \hbar\omega_D\}}} \right], \quad (8)$$

for  $0 \leq T \leq T_c$ . Thus  $2N_B(T)/N_2 \equiv 1 - N_{20}(T)/N_2$  is obtainable for  $0 \leq T \leq T_c$  from Eq. (8) if  $\Delta_0(T)$  were known.

For this,  $\theta(k_1 - k_F) \equiv \theta(\epsilon_{k_1} - E_F)$  in Eq. (1) becomes  $1 - n(\xi_{k_1})$  where  $n(\xi_{k_1}) \equiv (e^{\beta\xi_{k_1}} + 1)^{-1}$  with  $\xi_{k_1} \equiv \epsilon_{k_1} - \mu(T)$ , the IFG chemical potential  $\mu(T)$  in 2D being given exactly by  $\mu(T) = \beta^{-1} \ln(e^{\beta E_F} - 1) \rightarrow E_F$  as  $T \rightarrow 0$ . Similar arguments hold for  $\theta(k_2 - k_F)$ . Since for  $K = 0$ ,  $k_1 = k_2$  which implies that  $\xi_{k_1} = \xi_{k_2}$ , Eq. (1) then provides a simple generalization to finite- $T$  of the  $K = 0$  CP equation, namely

$$1 = \lambda \int_0^{\hbar\omega_D} d\xi (e^{-\beta\xi} + 1)^{-2} [2\xi + \Delta_0(T)]^{-1}. \quad (9)$$

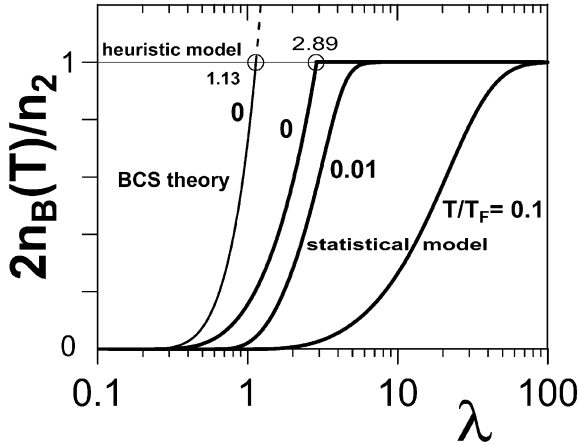


Fig. 1. Fractional number of pairable fermions that are actually paired vs. coupling  $\lambda$  for the present statistical model at three different temperatures (thick curves) and estimated for BCS theory at  $T = 0$  as explained below Eq. (10) (thin curve). The number of pairable fermions with the BCS model interaction used is just Eq. (3); all of them are paired at  $T = 0$  (unrealistically) in the heuristic BEC model, Ref. [34] Eq. (23).

Its numerical solution shows  $\Delta_0(T)$  to decrease monotonically with  $T$  for fixed  $\lambda$  and  $\hbar\omega_D$ , and zero only at infinite  $T$ . (This infinite ‘de-pairing’ temperature is obviously spurious as the BCS model interaction loses meaning when  $\mu(T)$  turns negative at large  $T$ .) Thus also  $2N_B(T)/N_2$  decreases with  $T$ ; it is plotted in Fig. 1 as  $2n_B(T)/n_2$ , since  $n_B(T) \equiv N_B(T)/L^d$  and  $n_2 \equiv N_2/L^2$ . Using Eq. (3) for  $N_2$  the fractional number of pairable fermions that are actually paired at  $T = 0$  becomes simply

$$2N_B(0)/N_2 = \Delta_0/2\hbar\omega_D = (e^{2/\lambda} - 1)^{-1} \xrightarrow{\lambda \rightarrow 0} e^{-2/\lambda}, \quad (10)$$

for  $\lambda \leq 2/\ln 2 \approx 2.89$ , and equals 1 (all pairable fermions paired into bosons) for  $\lambda \geq 2.89$ . This fraction is also plotted against coupling  $\lambda$  in Fig. 1. It contrasts sharply with the ‘heuristic model’ of Ref. [34], Eq. (16), where  $2N_B(0)/N_2 \equiv 1$  for all coupling. The fractional number is now qualitatively similar to that of BCS theory—which is not [38] a BEC theory—where, in any  $d$ , a coupling-dependent fraction is estimated (Ref. [39] p. 128) to be  $(\Delta/\hbar\omega_D)^2 \equiv (\sinh 1/\lambda)^{-2} \rightarrow 4e^{-2/\lambda}$  as  $\lambda \rightarrow 0$ . Here  $\Delta$  (again, not to be confused with the CP binding energy  $\Delta_0$ ) is the  $T = 0$  BCS energy gap for the same BCS model interaction used in this paper. It is graphed as the thin curve in Fig. 1 and seen to be much larger than Eq. (10) for fixed  $\lambda$ .

#### 4. Critical temperatures in BEC and BCS

For  $N_B$  bosons of mass  $m_B$  and energy  $\varepsilon_K = C_s K^s$  with  $s > 0$  and  $C_s$  a constant, a BEC temperature singularity occurs at  $T_c \neq 0$  for any dimension [40,41]  $d > s$  in the number equation  $N_B = \sum_{\mathbf{K}} [e^{(\varepsilon_K - \mu_B)/k_B T} - 1]^{-1}$  at vanish-

ing bosonic chemical potential  $\mu_B \leq 0$  when the number of  $\mathbf{K} = 0$  bosons just ceases to be negligible upon cooling. This critical temperature is given [34] by

$$T_c = \frac{C_s}{k_B} \left[ \frac{s\Gamma(d/2)(2\pi)^d n_B}{2\pi^{d/2}\Gamma(d/s)g_{d/s}(1)} \right]^{s/d}, \quad (11)$$

with  $n_B \equiv N_B/L^d$ , and  $g_\sigma(z)$  the usual Bose integrals expandable as infinite series which for  $\sigma > 1$  become  $\zeta(\sigma)$ , the Riemann zeta function of order  $\sigma$ , but diverge for  $\sigma \leq 1$ . Thus  $T_c = 0$  for all  $d \leq s$ . For  $s = 2$  and  $d = 3$  one has  $\zeta(3/2) \approx 2.612$ , and since  $C_2 \equiv \hbar^2/2m_B$  Eq. (11) then reduces to the familiar formula  $T_c \approx 3.31\hbar^2 n_B^{2/3}/m_B k_B$  of ‘ordinary’ BEC. But for bosons with (positive) excitation energy  $\varepsilon_K \equiv \Delta_0 - \Delta_K$  given approximately by the linear term in Eq. (2) for all  $K$  (meaning that  $s = 1$  and  $C_1 \equiv a(d)\hbar v_F$  with  $a(d) = 2/\pi$  and  $1/2$  for  $d = 2$  and  $3$ , respectively) the critical temperature  $T_c$  is nonzero for all  $d > 1$ —precisely the dimensionality range of all known superconductors down to the quasi-1D organo-metallic (Bechgaard) salts [8–10].

If the background unpaired fermions are not considered one has a pure boson gas of CPs but with  $T$ -dependent number density  $n_B(T)$ . Converting the explicit  $T_c$ -formula (1) for  $s = 1$  and  $d = 2$  into an implicit one by allowing  $n_B$  to be  $T$ -dependent leaves

$$T_c = \frac{4\sqrt{3}}{\pi^{3/2}} \frac{\hbar v_F}{k_B} \sqrt{n_B(T_c)}, \quad (12)$$

since  $g_2(1) \equiv \zeta(2) = \pi^2/6$ . This requires  $n_B(T) \equiv N_B(T)/L^2$  which from Eq. (6) requires Eq. (8), along with  $\Delta_0(T)$  from Eq. (9). Solving these three coupled equations simultaneously for  $\lambda = 1/2$  gives the remarkably constant value  $T_c/T_F \approx 0.004$  over the entire range of  $\nu \equiv \hbar\omega_D/E_F$  values 0.03–0.07 typical of cuprate superconductors, see Fig. 2. On the other hand, the BCS theory formula  $T_c^{\text{BCS}} \approx 1.13\Theta_D e^{-1/\lambda}$  with  $\lambda = 1/2$  yields  $T_c/T_F = 0.005$ –0.011 over the same range of  $\nu$  values. Unfortunately, both sets of predictions are well below empirical cuprate values of  $T_c/T_F$  varying [42] from 0.03 to 0.09. Pure gas model results [34], where all (pairable) fermions are paired, for either breakable or unbreakable bosons are shown in Fig. 2 to overestimate empirical  $T_c/T_F$  values by factors ranging from two to more than two orders of magnitude. All these results are wide off the mark.

To obtain the critical temperature without neglecting the background unpaired fermions, one needs the exact CP excitation energy dispersion relation  $\varepsilon_K(T) \equiv \Delta_0(T) - \Delta_K(T)$  which is neither precisely linear in  $K$  nor independent of  $T$ . To determine  $\Delta_K(T)$  we need a working equation that generalizes Ref. [25] for  $T > 0$  via the new CP eigenvalue Eq. (9). For the critical temperature from the finite-temperature dispersion relation, besides solving for  $\Delta_K(T)$ , one requires Eqs. (3), (6) and (8). At  $T = T_c$  both  $N_{B,0}(T_c) \approx 0$  and  $\mu_B(T_c) \approx 0$  so that Eq. (6) leads [37] to the implicit

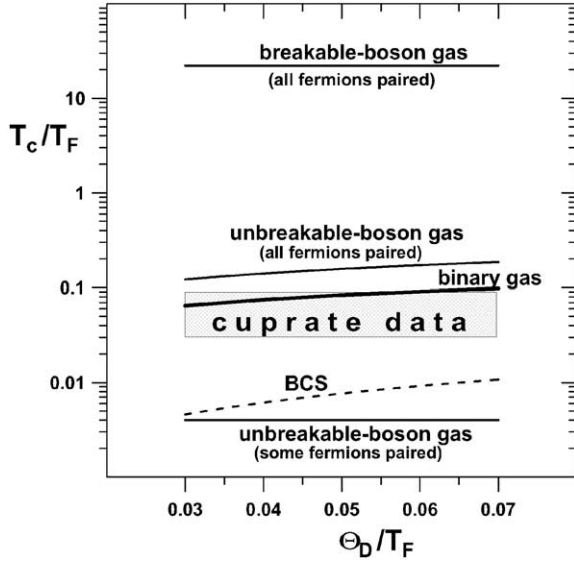


Fig. 2. Critical BEC temperature  $T_c$  in units of  $T_F$  for the BCS model interaction with  $\lambda = 1/2$  for varying  $\nu \equiv \hbar\omega_D/\mu(T_c) \approx \Theta_D/T_F$  for: the pure unbreakable-boson gas with *some* and with all fermions paired, the former being the solution of Eq. (12) and the latter taken from Ref. [34], Eq. (17); for the breakable-boson gas, from Ref. [34], Eq. (18); and for the boson-fermion mixture from Eq. (13) (thick full curve labeled binary gas). Dashed curve is the BCS theory  $T_c$ , and cuprate experimental data are taken from Ref. [42].

$T_c$ -equation for the *binary gas*

$$1 = \frac{\tilde{T}_c}{\nu} \ln \left[ \frac{1 + e^{-\{\tilde{\Delta}_0(\tilde{T}_c)/2 - \nu\}/\tilde{T}_c}}{1 + e^{-\{\tilde{\Delta}_0(\tilde{T}_c)/2 + \nu\}/\tilde{T}_c}} \right] + \frac{8(1 + \nu)}{\nu} \int_0^{\kappa_0(\tilde{T}_c)} \frac{\kappa d\kappa}{e^{[\tilde{\Delta}_0(\tilde{T}_c) - \tilde{\Delta}_\kappa(\tilde{T}_c)]/\tilde{T}_c} - 1}, \quad (13)$$

where quantities with tildes are in units of  $\mu(T_c) \approx E_F$  or  $T_F$ , while  $\kappa \equiv K/2\sqrt{k_F^2 + k_D^2}$  and  $\nu \equiv \Theta_D/T_F$ . Four coupled equations must now be solved self-consistently for the exact  $T_c$  for each  $\lambda$  and  $\nu$ , including Eq. (9) for  $\tilde{\Delta}_0(\tilde{T})$ , and Eq. (35) of Ref. [37] for both  $\tilde{\Delta}_\kappa(\tilde{T})$  and  $\kappa_0(\tilde{T}_c)$ . Results with  $\lambda = 1/2$  labeled ‘binary gas’ in Fig. 2 show a huge enhancement of  $T_c$ , with respect to the self-consistent result from Eq. (12), arising from the equilibrating presence of the unpaired fermions, in spite of the very small number of bosons suggested by Fig. 1 for  $\lambda = 1/2$ .

For cuprates  $d \approx 2.03$  has been suggested [43] as more realistic since it reflects inter-CuO-layer couplings, but our results in that case would be very close to those for  $d = 2$  since, e.g. from Eq. (11)  $T_c$  for  $s = 1$  (but not for  $s = 2$ ) varies little [44] with  $d$  around  $d = 2$ . Indeed, if  $m_{B\perp}$  and  $m_B$  are the boson masses *perpendicular* and *parallel*, respectively, to the cuprate planes, an ‘anisotropy ratio’  $m_B/m_{B\perp}$  varied from 0 to 1 allows ‘tuning’  $d$  continuously from 2 to 3.

Other boson-fermion models [6,12,16,28,45,46] have

been introduced, some even addressing [16,46] d-wave interaction effects as opposed to the pure s-wave considered here, and some also focusing [16,28] on the pseudogap. But successful calculations of cuprate  $T_c$  values in quasi-2D without adjustable parameters are not reported—and indeed predict  $T_c \equiv 0$  in exactly 2D.

## 5. Conclusions

A statistical model treating ordinary CPs, of fixed CMM but indefinite relative momenta, as noninteracting bosons in chemical and thermal equilibrium with unpaired fermions yields a boson number that rises very slowly from zero with coupling, and that decreases with temperature. The model naturally suggests a more convenient definition of the boson chemical potential whereby the degenerate Fermi region of positive fermion chemical potential can be accessed unlike previous treatments. When the CP dispersion relation is approximately linear, as it must be because of the Fermi sea, it exhibits a BEC of zero-CMM pairs at a finite temperature at precisely 2D. Such a critical temperature  $T_c$  would vanish for a quadratic dispersion appropriate not to the Fermi sea but to vacuum. Transition temperatures for the boson-fermion mixture based on the exact CP dispersion relation for the BCS model electron–phonon interaction are greatly enhanced over both BCS theory as well as over pure-Bose-gas BEC  $T_c$ s, and without any adjustable parameters are in rough agreement with empirical cuprate superconductor  $T_c$ s.

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