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# Plasmonic resonances in hybrid systems of aluminum nanostructured arrays and few layer graphene within the UV–IR spectral range

R González-Campuzano<sup>1,3</sup> , J M Saniger<sup>2</sup> and D Mendoza<sup>1</sup>

<sup>1</sup>Instituto de Investigaciones en Materiales, Universidad Nacional Autónoma de México, A. P. 70-360, Ciudad de México 04510, México

<sup>2</sup>Centro de Ciencias Aplicadas y Desarrollo Tecnológico, Universidad Nacional Autónoma de México, A. P. 70-186, Ciudad de México 04510, México

E-mail: [naedra@ciencias.unam.mx](mailto:naedra@ciencias.unam.mx) and [doroteo@unam.mx](mailto:doroteo@unam.mx)

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## Abstract

The size-controllable and ordered Al nanocavities and nanodomes arrays were synthesized by electrochemical anodization of aluminum using phosphoric acid, citric acid and mixture both acids. Few layer graphene (FLG) was transferred directly on top of Al nanostructures and their morphology were evaluated by scanning electron microscopy. The interaction between FLG and the plasmonic properties of Al nanostructures arrays were investigated based on specular reflectivity in the ultraviolet–visible–infrared range and Raman spectroscopy. We found that their optical reflectivity was dramatically reduced as compared with unstructured Al. At the same time pronounced reflectivity dips were detectable in the 200–896 nm wavelength range, which were ascribed to plasmonic resonances. The plasmonic properties of these nanostructures do not exhibit evident changes by the presence of FLG in the UV–vis range of the electromagnetic spectrum. By contrast, the surface-enhanced Raman spectroscopy of FLG was observed in nanocavities and nanodomes structures that result in an intensity increase of the characteristic G and 2D bands of FLG induced by the plasmonic properties of Al nanostructures.

Supplementary material for this article is available [online](#)

Keywords: plasmonic nanostructures, aluminum, graphene, SERS, GERS, plasmonic properties, plasmonic aluminum

(Some figures may appear in colour only in the online journal)

## 1. Introduction

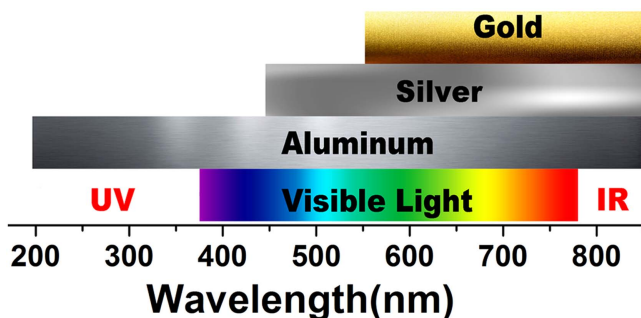
In recent years, the physical and chemical properties of plasmonic [1–3] and two-dimensional materials [4–6] have aroused great interest within the scientific community for their many applications.

Surface plasmons (SPs) are collective oscillations of free charges at the interface between two materials, usually metals

and a dielectric material. Control and manipulation of SPs gives us the opportunity to apply them in nanophotonic devices, for example in the confinement of light into nanostructures that are smaller than the wavelength of light, giving novel plasmonic effects such as extraordinary optical transmission in subwavelength hole arrays [7, 8], Fano resonances in metal nanostructures [9], and others.

The use of aluminum in plasmonic nanostructures compared to other noble metals like silver or gold offers new possibilities to access the ultraviolet–visible–infrared (UV–Vis–IR)

<sup>3</sup> Author to whom any correspondence should be addressed.



**Figure 1.** Plasmon tuning ranges of the most common plasmonic materials, Au and Ag, compared with Al.

region of the electromagnetic spectrum (see figure 1), and also by its relatively low cost and high natural abundance.

Recently, due to its high performance Al has been increasingly used in studies and applications in plasmonics, for example as biosensors [10, 11], in surface enhanced fluorescence [12], and surface enhanced Raman scattering (SERS) [13–15].

On the other hand, graphene being a bidimensional material of atomic thickness (0.34 nm) [16] has attracted a lot of attention in different areas of science for its interesting optical [17], thermal [18], electronic [19] and phononic [20] properties. Currently, there are some reports on graphene deposited on thin metal films to be used as a biosensor based on surface plasmons resonance (SPR) [21], furthermore, recent studies show that this material possesses plasmonic properties [22], especially at mid-infrared (MIR) [23] and terahertz (THz) [24] frequencies. With these motivations and combining plasmonic properties of Al nanostructures and graphene, systems with novel properties can be obtained. For example, such hybrid systems could be promising for Raman enhancement phenomenon known as graphene-enhanced Raman scattering (GERS) [25–27], where at the same time SERS phenomena is present.

In this work, we propose and fabricate a novel system based on few layer graphene (FLG) on top of ordered and size-controlled Al nanostructures (nanocavity and nanodome arrays) to study the light–matter interaction in graphene–plasmonic hybrid structures. We used electrochemical anodization to synthesize the nanostructures. FLG synthesized by a chemical vapor deposition (CVD) method was transferred directly on top of Al nanostructures and their interaction with plasmonic Al nanostructures were investigated through reflectance and Raman spectroscopy measurements. We found that plasmon resonances are excited both in nanocavities and in nanodomains arrays, and that the presence of FLG does not significantly affect the plasmonic properties of these nanostructures in the UV–vis range of the electromagnetic spectrum. On the other hand, we observed a SERS effect where Raman intensities of both G and 2D bands of FLG enhanced when it is on top of the nanostructures.

## 2. Experimental details

High-purity aluminum foil (Sigma-Aldrich 0.25 mm thick, 99.999% purity) was cut in 1 cm × 2.5 cm samples. Before

the anodization process the Al foils were annealed at 600 °C for 6 h in an atmosphere of H<sub>2</sub> at ambient pressure. Subsequently they were cleaned in solutions of 0.25 M Na<sub>2</sub>CO<sub>3</sub> at 80 °C for 3 min and 35% wt HNO<sub>3</sub> for 30 s. Various kinds of samples using phosphoric acid (H<sub>3</sub>PO<sub>4</sub>), citric acid (C<sub>6</sub>H<sub>8</sub>O<sub>7</sub>) and mixture both acids [28] as electrolytes for anodization were obtained (see supplementary material available online at [stacks.iop.org/NANO/28/465704/mmedia](http://stacks.iop.org/NANO/28/465704/mmedia)); voltages in the range of 130–600 V were applied at ambient temperature for 8 h. A graphite plate was used as the counter electrode and the distance between both electrodes was kept 1 cm apart; the solutions were continuously stirred to homogenize both the electrolyte and its temperature.

After anodizing, the samples were immersed in a mixture of 1.8 wt% CrO<sub>3</sub> and 6 wt% H<sub>3</sub>PO<sub>4</sub> at 60 °C for 12 h to selectively dissolve the anodic aluminum oxide (AAO), with this procedure an array of concaves is observed in the remaining aluminum surface, see figure 2(a).

Other type of plasmonic structures denominated nanodomains were also explored (see figure 2(b)), namely the bottom surface, called the barrier layer of the AAO was used as a substrate where a thin aluminum film was evaporated (see supplementary material).

Carbonaceous material was synthesized by CVD method using the same procedure reported in [29]. Briefly, for annealing, copper foil was heated to 1000 °C with flowing H<sub>2</sub> during one and a half hour. After this time a gas mixture of H<sub>2</sub> and CH<sub>4</sub> was flowed at ambient pressure for 30 min. Flowing CH<sub>4</sub> was cut off and the furnace was cooled to room temperature in the H<sub>2</sub> atmosphere. Under these conditions of synthesis few layers of graphene were obtained. Copper foil was dissolved in solution of Fe(NO<sub>3</sub>)<sub>3</sub>, and FLG was transferred on Al nanostructured arrays for optical measurements.

Morphology of the nanostructured Al arrays samples was evidenced by using field-emission scanning electron microscope (SEM) JEOL 7600F. Reflectivity measurement was performed using UV–vis spectrophotometer (UV-2600 Shimadzu Corporation). The probe was set at normal angle and reflectance spectra were collected using integrating sphere in the 190–1400 nm wavelength range. Raman spectroscopy was made using Nicolet Omega XR Spectrometer with 532 nm laser excitation, and 0.784 mW of laser power.

## 3. Results and discussion

After anodization and thorough removal of the resulting AAO, an array of concaves appears on the Al sample, which is an exact replica of the morphology of the AAO pore bottoms. In figure 3 representative top view SEM images of Al nanocavities arrays synthesized at different voltages are shown.

The geometric parameters such as interconcave distance ( $D_c$ ) and pore concave diameter ( $D_p$ ) of these nanostructures were estimated from SEM micrographs for each sample using Image J software. The determined values are presented in table S2 of supplementary material. As can be seen in figure 4, the size of these nanostructures depends on the

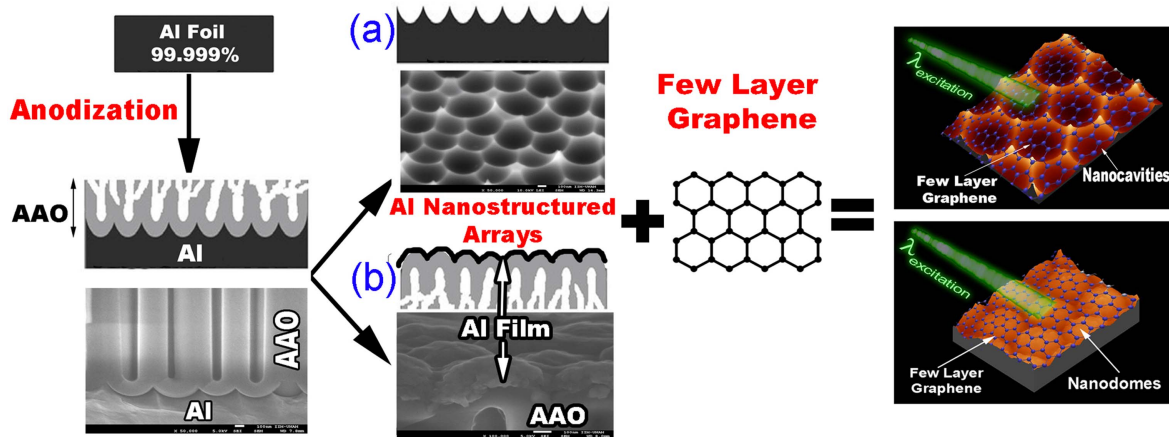


Figure 2. Schematic representation of the experimental procedure for the synthesis of hybrid systems.

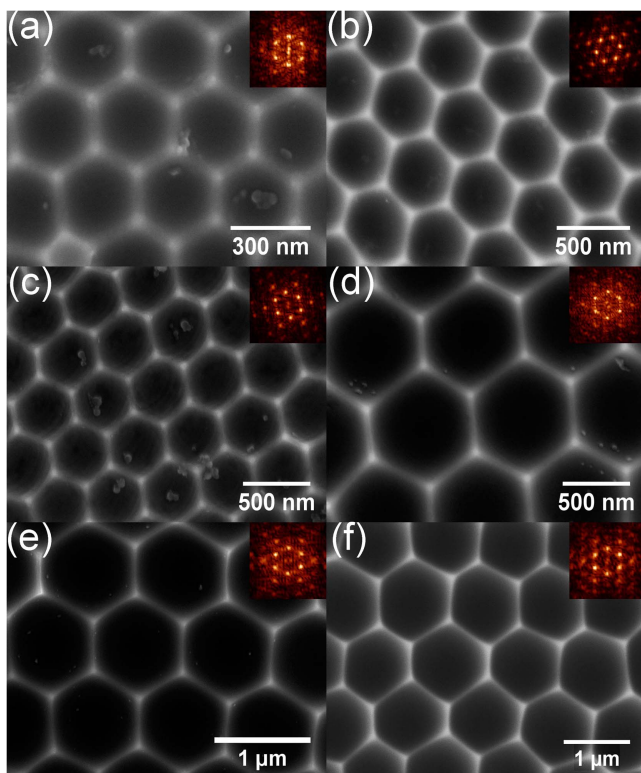


Figure 3. Some SEM micrographs of Al nanocavities arrays fabricated with different voltages: (a) 130 V, (b) 160 V, (c) 180 V, (d) 300 V, (e) 350 V and (f) 400 V with their respective FFT images (insets).

applied voltage, keeping an impressive linear relation between both parameters and the anodization voltage. That is why the process of electrochemical anodization, compared to other expensive techniques such as lithography, is a good alternative because it is possible to obtain desired dimensions by choosing the appropriate electrolyte and anodization voltage. An FFT analysis was also performed from SEM micrographs, shown six distinct points in the corners of a hexagon, confirming good hexagonal arrangement of the nanostructures (see upper inset of figure 3).

Reflectance spectrums as a function of light wavelength of some Al nanostructures are shown in figure 5. A significant

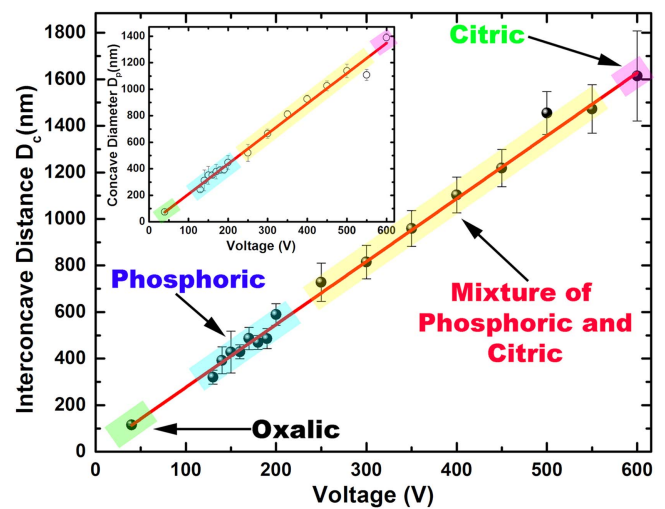


Figure 4. The relation between the interconcave distance ( $D_c$ ), pore concave diameter ( $D_p$ , inset) and the applied anodizing voltage in nanocavities arrays for different acidic electrolytes used in this work.

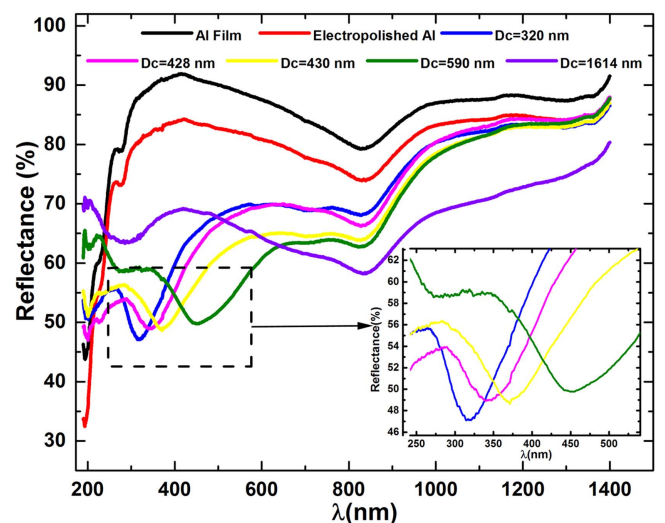


Figure 5. Reflectance spectrum of Al nanocavities. Results for electropolished Al foil and Al film obtained by thermal evaporation are shown for comparison.



dip in the reflectance intensity at 826 nm ( $\sim 1.5$  eV) is observed in all cases. This feature is related with interband transitions of electrons in the crystalline aluminum [30, 31]. In the samples with nanocavities arrays with  $D_c$  within the 320–728 nm range other dip in the reflectance in the 300–538 nm wavelength range appears (inset figure 5), while in samples with  $D_c$  in the 814–1614 nm range this dip is not evident. The SPs excitations manifest themselves as minima in reflectance spectrum in UV–visible region [32], which means that the incident light couples with SPs located at Al nanocavities array.

It is observed that while  $D_c$  increases, the plasmon dip moves to longer wavelengths, and when it approaches to the reflectance minima related with interband transitions of Al, the existence of the plasmonic dip is no longer evident. The interband transitions cause damping of any localized surface plasmon resonances (LSPRs) or propagating surface plasmon polaritons (SPPs) that have energies matching those of the interband transitions [33], hence a competitive phenomena between interband transitions and SPs excitations appears [34].

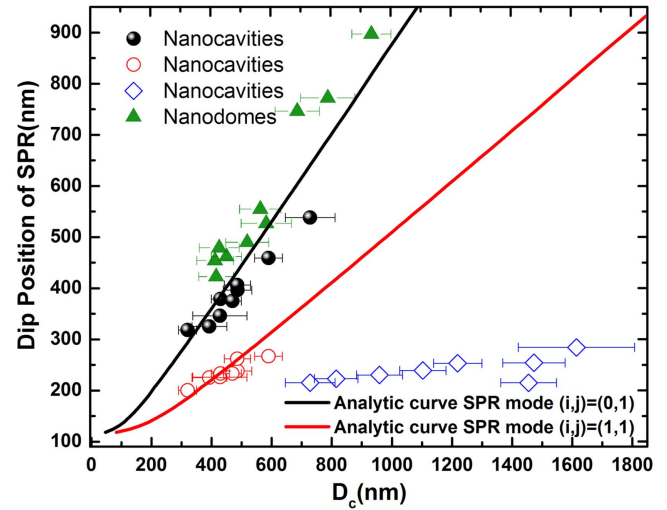
The nanocavities arrays provide the additional momentum  $\vec{G}$  necessary to fulfill the resonance conditions, giving rise to the dips in reflectance spectra [8, 32]. The SP resonances are directly associated with the periodicity of the nanocavities arrays and to the optical properties of Al. Using as a first approximation for SPR an ideal model, coupling of photons with two-dimensional hexagonal periodic array gives SPR or minimum of the reflectance at the wavelength given by [8, 35]:

$$\lambda_{\text{SPR}} = \frac{a}{\sqrt{\frac{4}{3}(i^2 + ij + j^2)}} \sqrt{\frac{\varepsilon_m(\lambda)\varepsilon_d}{\varepsilon_m(\lambda) + \varepsilon_d}} \quad (1)$$

at normal incidence, where  $a$  is the period of the array (in our case interconcave distance  $D_c$ ),  $\varepsilon_m$  and  $\varepsilon_d$  are respectively the dielectric constants of the metal and the dielectric material in contact with the metal and  $i, j$  are the scattering orders of the array; in this model variations in metal thickness, the size and shape of the nanostructures are not taken into account. Although the equation (1) was developed for periodic arrays, to generate the SPR a long-range order is not necessary [32].

The most pronounced dips in the reflectance spectra can be ascribed to the  $(i, j) = (1, 0)$  or first order ( $\lambda_{(1,0)}$ ) according to equation (1). A second dip present in some spectra of Al nanocavities synthesized with different voltages may be attributed to a higher order SPR mode  $(i, j) = (1, 1)$  or second order ( $\lambda_{(1,1)}$ ). Figure 6 shows experimental data of the observed reflectance dips and theoretical curve for the SP resonance model with periodicity described by equation (1).

For calculations, the real part of equation (1) was solved using experimental values for the complex dielectric function of Al [36]  $\varepsilon_m(\lambda) = \varepsilon_1 + i\varepsilon_2$  taking  $\varepsilon_d = 1$  for air. It is observed in figure 6 that the analytical curves for the  $\lambda_{(1,0)}$  and  $\lambda_{(1,1)}$  modes fit acceptably to the experimental reflectance dips within the 320–728 nm range of  $D_c$ . In a previous report [37] we showed that the periodicity is not essential for the excitation of SPs in this range of  $D_c$  values and that the



**Figure 6.** Comparison of experimental data dip for Al nanocavities arrays (open and closed circles), Al nanodomes arrays (closed triangles) and analytical curves for the SPR model for the fundamental modes  $(i, j) = (0, 1)$  and  $(i, j) = (1, 1)$ . Other dips appeared in the nanocavities arrays are shown as open diamonds.

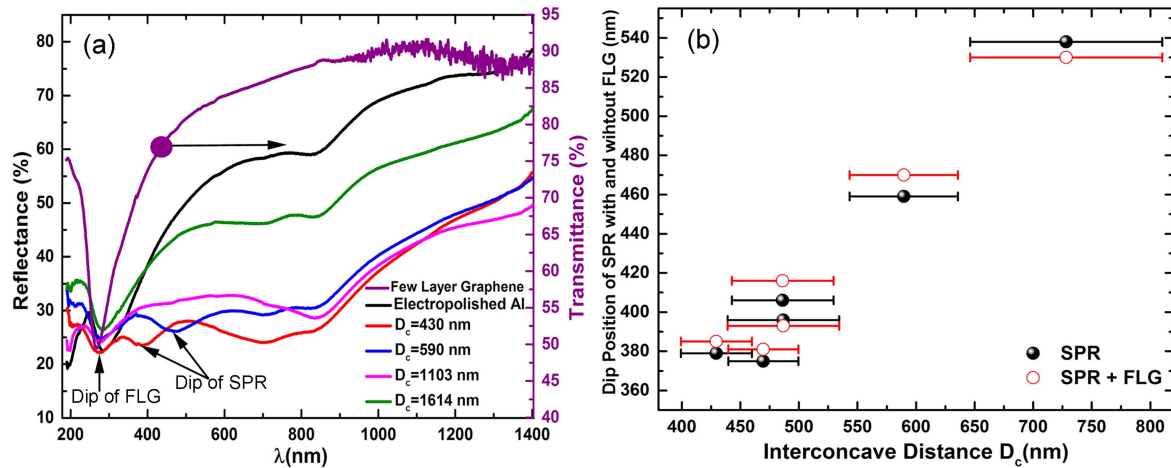
irregularity on the surface is the important characteristic for the momentum conservation rule [32].

The discrepancy in the fit may be related with the formation of native layer of alumina ( $\text{Al}_2\text{O}_3$ ) ( $\sim 3$ – $5$  nm) that appears on the surface of Al when exposed to air which acts as a passivation layer preventing further oxidation. Oxidation in Al nanostructures has been thoroughly studied [38–40], and established that the plasmonic response of Al is remarkably sensitive to the percentage of oxide. In others works [41, 42] it is proposed that the discrepancy with the model may be attributed to the fact that the nanocavities are ended by very sharp edges which can support LSPRs and that the interaction between SPP and LSP modes can cause shifts in minima corresponding to SP excitations.

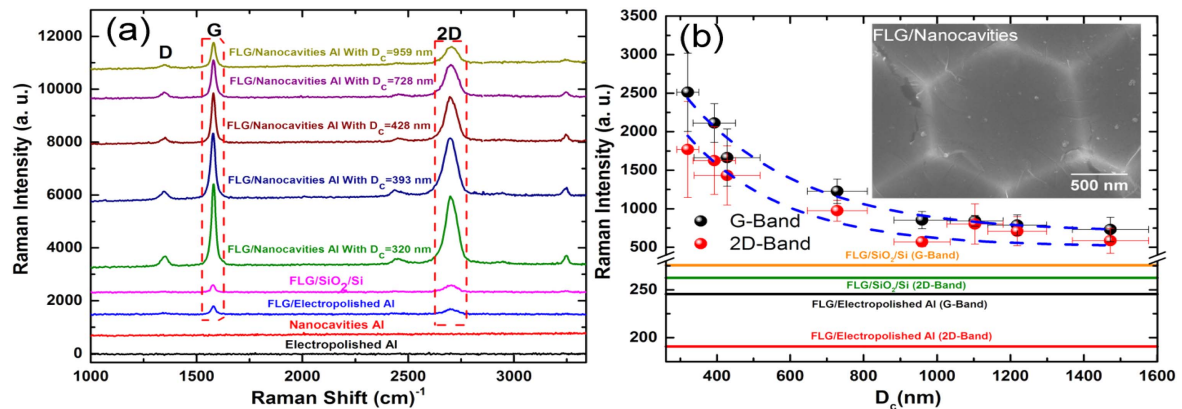
There are other reflectance dips (diamond open symbols in figure 6), which appear on samples with  $D_c$  values higher than 728 nm. Note that these dips completely separate of the tendency of the analytical curves. Our proposal is that these dips are related to other type of electromagnetic modes, namely cavity modes, in this case light is confined within the cavity as standing waves [43, 44]; but these findings deserve further careful investigation.

On the other side, FLG was put on the nanocavity arrays to study the interaction between both systems in two cases: the effect of FLG on the position of the plasmon resonances of the arrays and the changes on the Raman bands of FLG produced by the nanocavities substrates.

In the first case, reflectance spectrums as a function of light wavelength of the Al nanocavities with FLG are shown in figure 7(a). A significant dip in the reflectance intensity at 269 nm ( $\sim 4.6$  eV) is observed in all samples in addition to the minima corresponding to SPR. The minimum around 269 nm corresponds to FLG and is associated to the optical transition  $\pi \rightarrow \pi^*$  ( $\sim 4.5$ – $4.6$  eV) in graphene [45], such as is evident in



**Figure 7.** (a) Reflectance spectrum of FLG on top on Al nanocavities. Results for electropolished Al foil with FLG and transmittance spectrum of FLG are shown for comparison. (b) Comparison of plasmonic minima of Al nanocavities (closed symbols) and Al nanocavities with FLG (open symbols).



**Figure 8.** (a) Comparison of Raman spectra of FLG on the surface of the nanocavities with different  $D_c$ . Results for electropolished Al foil, nanostructured Al (with nanocavities), FLG on top of SiO<sub>2</sub> (306 nm)/Si and electropolished Al are shown for comparison. All the measurements were performed using a excitation laser wavelength of 532 nm, with a 10 $\times$  objective (spot size  $\sim 5 \mu\text{m}$ ) and 0.784 mW incident power. (b) Raman intensity changes for the G and 2D band depending on the  $D_c$  of nanocavities. The horizontal lines show the Raman intensity of substrates used as reference. SEM image of FLG on top of Al nanocavities arrays (inset).

the transmittance measurements of FLG on quartz substrate shown in the same figure.

Figure 7(b) shows the position of the first order plasmonic minima in the reflectance intensity of nanocavities with and without FLG. Our experimental results do not show any evident systematic change on the plasmonic resonances of the Al nanocavities when FLG is put on these substrates. But an overall decrease of the reflectance intensity after placing FLG on top of Al nanocavities is observed, which can be ascribed to the absorption of the graphene layers [17].

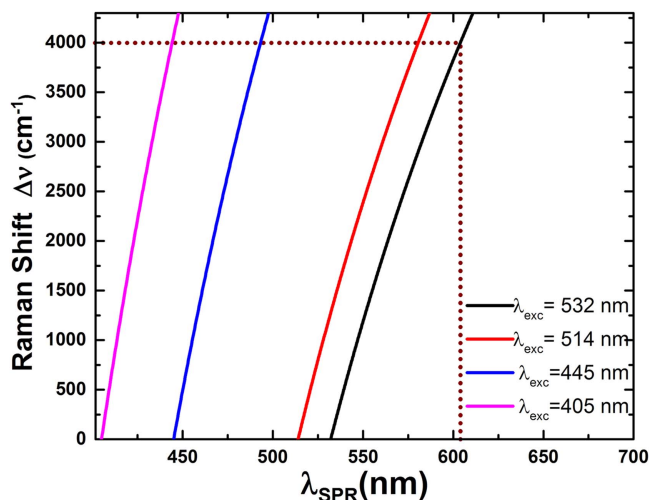
In the second case, Raman spectroscopy was used to sense the effect of Al nanocavities in FLG. A detailed analysis of the shape of the 2D band of Raman spectrum (see supplementary material) showed that the samples consisted of four layers of graphene, which is consistent with the value of the measured transmittance in the visible range using the general rule of 2.3% of absorbance per graphene layer as is shown in the transmittance spectrum of figure 7(a).

In figure 8(a) Raman spectra of electropolished Al, nanostructured Al (with nanocavities), FLG on top of SiO<sub>2</sub>

(306 nm)/Si, on electropolished Al and on Al nanocavities with different  $D_c$  as substrates are shown. The spectra of the systems used as references (electropolished and nanostructured Al) do not present any Raman band within the 1350–3500  $\text{cm}^{-1}$  range, while FLG present their characteristic D, G and 2D bands. Note that the intensity of the G band is larger than that of the 2D band, which is characteristic of the FLG samples.

We observe in figure 8(b) that Raman signal intensity of the characteristic G and 2D peaks of FLG significantly increases when is on top of Al nanocavities with different  $D_c$  in comparison with substrates used as references. At the lower  $D_c$ , FLG on top of Al nanocavities display an enhancement factor of  $\sim 10$ -fold for the G peak and  $\sim 9$  for the 2D peak compared to FLG on top of electropolished Al and  $\sim 9$ -fold for the G peak and  $\sim 7$ -fold for the 2D peak compared to FLG on top of SiO<sub>2</sub>/Si. This fact may be related to a SERS effect produced by the nanocavities arrays.

The decreasing behavior in the Raman signal intensity as a function of  $D_c$  can be directly related with the number of



**Figure 9.** Raman shift ( $\Delta\nu$ ) as a function of wavelength of the SPR ( $\lambda_{\text{SPR}}$ ) for different excitation wavelengths ( $\lambda_{\text{exc}}$ ) commonly used in Raman spectroscopy.

nanocavities per unit area or so-called hotspots. The hot spots are highly localized regions of intense local field enhancement believed to be caused by SPR [46].

It is known that the SERS enhancement is strongly related to the plasmonic properties of the nanostructures and that the maximum enhancement is achieved when the wavelength of the SPR of the nanostructure ( $\lambda_{\text{SPR}}$ ) (in our case Al nanocavities) is located between the excitation wavelength ( $\lambda_{\text{exc}}$ ) and the wavelength of Raman signal ( $\lambda_{\text{RS}}$ ) of analyte [47] (in our case FLG).

Theoretical and experimental results [48–52] demonstrated that the maximum enhancement occurs when the  $\lambda_{\text{SPR}}$  is equal to the average of the  $\lambda_{\text{exc}}$  and the  $\lambda_{\text{RS}}$ ; that is:

$$\lambda_{\text{SPR}} = \frac{\lambda_{\text{exc}} + \lambda_{\text{RS}}}{2}. \quad (2)$$

Using equation (2) we can obtain an expression that allows us to calculate the Raman shift ( $\Delta\nu$ ) from the known parameters  $\lambda_{\text{SPR}}$  and  $\lambda_{\text{exc}}$ :

$$\Delta\nu = \frac{1}{\lambda_{\text{exc}}} - \frac{1}{2\lambda_{\text{SPR}} - \lambda_{\text{exc}}}. \quad (3)$$

Figure 9 shows the calculated Raman shift ( $\Delta\nu$ ) as a function of wavelength of the SPR ( $\lambda_{\text{SPR}}$ ) for different excitation wavelengths ( $\lambda_{\text{exc}}$ ). The advantage of using Al nanocavities as SERS substrates is that one can change  $\lambda_{\text{SPR}}$  at will by applying the selected anodization voltage (see figures 4 and 6), and with the appropriate  $\lambda_{\text{exc}}$  it is possible perform Raman studies of analytes in any desired wavenumbers region. The maximum Raman shift, even in the so called high wavenumber Raman spectroscopy [53, 54], is  $4000 \text{ cm}^{-1}$ ; then, using the results presented in figure 9, one can choose the appropriate parameters for SERS characterization.

A limitation of Al nanocavities arrays to be used as SERS substrates in regions smaller than  $4000 \text{ cm}^{-1}$  with an excitation wavelength of 532 nm (used in this work) is that  $\lambda_{\text{SPR}}$  should be located between 532 and 604 nm according to figure 9. The experimental results obtained in the present

study indicated that  $\lambda_{\text{SPR}}$  is limited to a maximum of around 538 nm (see figure 6). Due to this limitation, it is explored the other kind of plasmonic nanostructures denominated nanodomos (see figures 2(b), 10(a) and supplementary material for details of fabrication).

Figure 10(b) shows the reflectance spectra of Al nanodomos arrays. The dip in the reflectance intensity related with interband transitions in Al around 826 nm in these nanostructures is less evident compared to Al nanocavities arrays. In addition, minima in the UV–visible region in the range of 422–896 nm corresponding to SPs excitations are also observed (see figure 6), where an acceptable fit of the theoretical curve with the experimental results is observed. Due to this wide range of plasmonic resonances, and according to figure 9, Al nanodomos arrays may be better candidates to be used as SERS substrates in comparison to Al nanocavities using an excitation light with 532 nm wavelength.

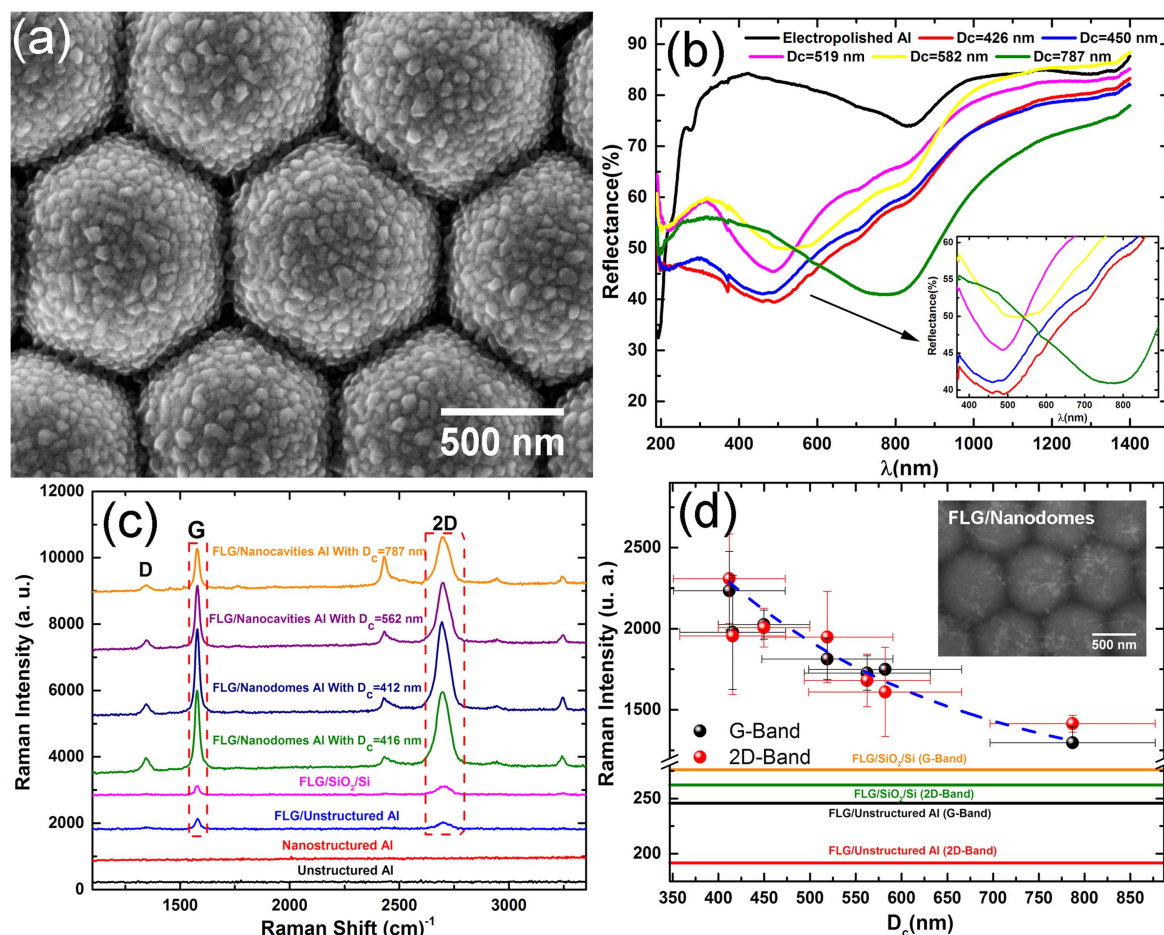
In figure 10(c) Raman spectra of FLG on top of Al nanodomos arrays with different  $D_c$  as substrates are shown. It is also observed that Raman signal intensity of the characteristic peaks of FLG significantly increased when is on top of Al nanodomos arrays with different  $D_c$  in comparison with substrates used as references. Figure 10(d) shows Raman signal intensities changes for the G and 2D bands of FLG when is on top of Al nanodomos arrays with different  $D_c$ . For the minimum value of  $D_c$  explored in this work, FLG on top of Al nanodomos arrays display enhancement factors of  $\sim 15$ -fold for the G peak and 16 for the 2D peak compared to FLG on top of unstructured Al and  $\sim 13$ -fold for the G peak and  $\sim 12$ -fold for the 2D peak compared to FLG on top of  $\text{SiO}_2/\text{Si}$ . This type of nanostructures show enhancement factors in G and 2D peaks greater than those of the Al nanocavities arrays. This fact may be related to the more complex geometry of this system that includes the thickness of the Al film and the surrounding dielectric media or to a texture that naturally appears in the Al film deposited on the nanodomos (see figures 10(a) and S1).

Using the plasmonic properties of the Al nanocavities arrays and the extended SPR spectral range of Al nanodomos arrays, it is possible to design SERS substrates similar to those reported in [55, 56], formed by FLG and metallic nanostructures combinations called graphene-mediated SERS (G-SERS) substrate. Some advantages of graphene on top of Al nanocavities and Al nanodomos arrays are: can act as a multifunctional ‘SERS mediator’, a flat supporting surface to arrange molecules in a more controllable way, a spacer to separate the metal-molecule contact, additional effects like a stabilizer of both the substrate and the molecules under laser exposure; so this G-SERS substrate may be superior of others typical SERS substrates. In addition, graphene presents by itself an enhanced Raman effect (GERS) [29].

## 4. Conclusions

In summary, these nanostructures are simple to fabricate compared to other expensive techniques such as lithography





**Figure 10.** (a) SEM image of top view of Al nanodomains arrays. (b) Reflectance spectrum of Al nanodomains arrays. (c) Comparison of Raman spectra of FLG on the surface of the Al nanodomains arrays with different  $D_c$ . Results for unstructured Al foil, nanostructured Al, FLG on top of  $\text{SiO}_2$  (306 nm)/Si and unstructured Al are shown for comparison. All the measurements were performed using the same conditions as in figure 8(a). (d) Raman intensity changes for the G and 2D band depending on the  $D_c$  of Al nanodomains arrays. The horizontal lines show the Raman intensity of substrates used as reference.

and their plasmonic properties can easily be tuned by changing their size through the appropriate electrolyte and anodization voltage. These characteristics combined with the properties of multilayer graphene can offer great promise for their use in GERS–SERS studies for sensing and characterization of biological and chemical molecules. Although the plasmonic properties of Al nanocavities, compared to other metals such as Au and Ag, are limited in wavelength regions smaller than 830 nm because of interband transitions, that system can be useful in the ultraviolet–visible wavelength range. But the nanodomains nanostructures offer an alternative for higher wavelengths and then expanding to the UV–Vis–IR region of the electromagnetic spectrum.

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## ORCID iDs

R González-Campuzano <https://orcid.org/0000-0003-2783-3961>

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