

## Synthesis of $\alpha$ -Al<sub>2</sub>O<sub>3</sub> from aluminum cans by wet-chemical methods

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### ABSTRACT

Chemical synthesis results in the most convenient route to produce ceramics particles. In this paper, the synthesis of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> from aluminum cans is reported. Two approaches were considered for synthesis of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, the first route proposed was the precipitation of aluminum chloride with NaOH for producing aluminum hydroxide. The second methodology consist of dissolving aluminum flakes with glacial acetic acid to produce aluminum acetate. The XRD analysis demonstrate that alumina powders are obtained from heat treatment of aluminum acetate at relative low temperature (1100 °C), and at 1180 °C when starting from aluminum hydroxide. The methodology has the capability to produce nanophase alumina powder, the average crystal size was 58–54 nm for alumina derived from hydroxide and aluminum acetate, respectively. Therefore, the use of this precursors simplifies the process and provides another alternative for synthesis of crystalline alumina.

### Introduction

$\alpha$ -Alumina is widely employed as substrate in the electronic industry, as support material for catalysis, high temperature crucibles, milling media in high and conventional ball mills, grinding paste for metal polishing, etc. [1]. The high thermal and chemical stability as well as high mechanical strength make possible these diverse applications [1–3]. Alumina is mainly produced industrially by the Bayer process [4–5], which consume a lot of energy. Other methods have been reported for the preparation of alumina, that include precipitation [6], sol-gel [7–8], hydrothermal [9] and other methods [10–11]. Recently, some approaches have been reported for the use of aluminum cans for the synthesis of alumina [3,12–14]. Recycling this type of aluminum waste can save energy and other raw materials [3,14].

On the other hand, independently of the synthesis method or precursors used for synthesis of  $\alpha$ -alumina, several phase transformations are observed, the following sequence is commonly reported for most studies:  $\gamma \rightarrow \delta \rightarrow \theta \rightarrow \alpha$ , [2,13,15]. The required temperature for each phase transformation depends upon the synthesis method and the specific characteristics of precursors [2], but it is common to use temperatures as high as 1200 °C for several hours for the synthesis of the stable  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, at lower temperatures the  $\delta$  or  $\theta$  phases, or both are

obtained as the main products. For structural or thermal applications high temperature is used for producing the final ceramic bodies, and at the sintering temperature the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> is the stable phase. So, for this applications nanometric  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> powders are required [15–20].

Chemical synthesis and sol-gel result the most convenient route to produce ceramic particles or nanoparticles. Chemical synthesis is a particularly simple, safe and rapid fabrication process wherein the main advantages are energy and time saving. This quick, straightforward process can be used to synthesize homogeneous, high-purity, crystalline oxide ceramic powders including ultrafine alumina powders with a broad range of particle sizes. The sol-gel method consists in the formation of a sol from organometallic precursors, whose suspended particles polymerize at low temperature. The wet gel generated is then dried and heat treated. Highly pure particles with large surface area can be obtained by sintering ceramic precursors prepared by chemical synthesis and sol-gel methods [21–23].

Several properties of ceramics are influenced by the stability of precursor colloid solution. The main factors which affect stability are ionic strength, surface charges, pH, temperature and addition of dispersant agents. Particle agglomeration can be prevented through dispersant additives. Organic dispersants improve colloid stability and enhance green densification. Also, the temperature required for

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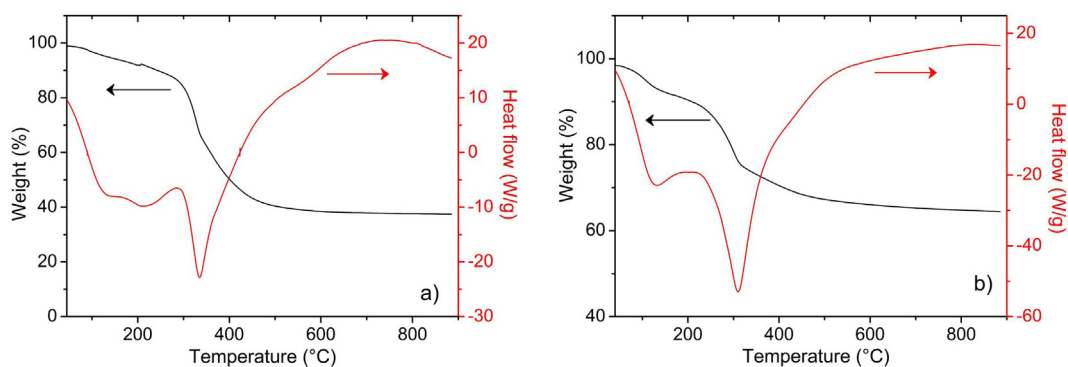


Fig. 1. TG and DCS analysis of (a) aluminum acetate and (b) aluminum hydroxide.

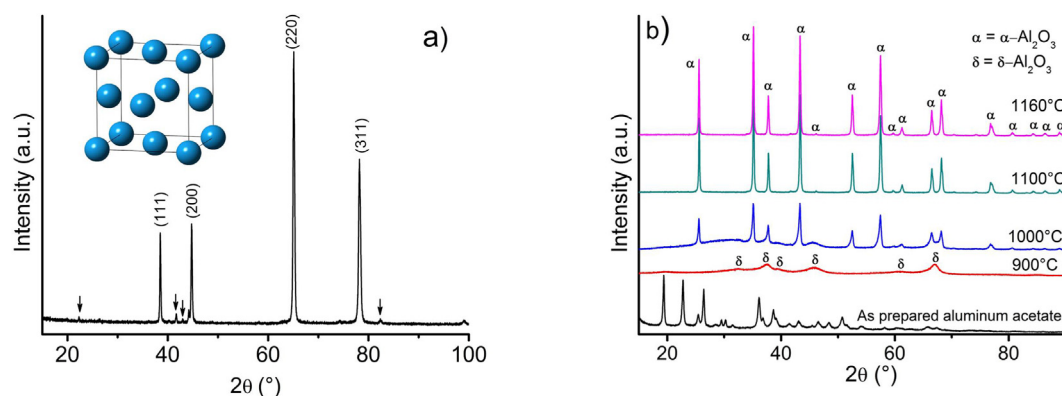


Fig. 2. (a) XRD pattern of a piece of aluminum can, and (b) XRD patterns of aluminum acetate and powders heat treated at different temperatures for 3 h.

sintering process decreases. It has been reported that organic compounds rich in carboxyl and hydroxyl groups are the best option for enhancing particle dispersion [21–24]. Moreover, it is possible to control stoichiometry and morphology, and synthesize materials with required characteristics to suit specific purposes. Therefore, it is necessary to develop more efficient pathways for obtaining alumina nanopowders by chemical synthesis and sol-gel methods. Then, in this paper, the low temperature synthesis of  $\alpha$ - $\text{Al}_2\text{O}_3$  is reported using aluminum cans as raw material. The methodology represents a viable processing route for recycling aluminum and production of  $\alpha$ - $\text{Al}_2\text{O}_3$ .

## Experimental section

### Synthesis of powders

For the synthesis of  $\alpha$ -alumina powders the raw materials used were aluminum cans, glacial acetic acid (ACS reagent grade, JT Baker), HCl (6 M, Quimica Meyer), NaOH (6 M, Quimica Meyer) and distilled water with electrical conductivity  $\sim 3 \mu\text{S}/\text{cm}$  (HI9829 multiparameter, HANNA). First, the aluminum cans were cut into flakes of  $2 \times 2 \text{ cm}^2$ , following by treatment with glacial acetic acid for removing paint and the internal covering polymer. For dissolving aluminum, two approaches were followed: One using HCl and the other with acetic acid. In the HCl process, the aluminum was dissolved into HCl 6 M slowly added for avoiding any risk derived from the produced  $\text{H}_2$  [3]. When the aluminum was completely in solution, NaOH 6 M was added dropwise producing aluminum hydroxide. The precipitate was washed several times with distilled water until the electrical conductivity was under  $50 \mu\text{S}/\text{cm}$  in the residual water. This was to ensure the complete elimination of residual NaCl resulting from the reaction between  $\text{AlCl}_3$  and NaOH [3]. The precipitate was then filtered, dried at  $90^\circ\text{C}$  for 24 h and heat treated at several temperatures ranging from  $900$  to  $1160^\circ\text{C}$  for 1.5–3 h.

In the second methodology, aluminum was dissolved with glacial acetic acid for several days (90–150). This step has similar duration to the reported procedure for preparing alumina from pseudo-boehmite with formic and acetic acid [1]. After the formation of white precipitate, this was centrifuged at 2500 RPM (Centra CL2 Centrifuge, Thermo Scientific) and washed four times for elimination of excess acetic acid. The solid was dried at  $90^\circ\text{C}$  for 24 h and heat treated between  $900$  and  $1160^\circ\text{C}$  for 3 h.

### Thermal analysis

In order to follow up the sequential transformation of precursors with thermal treatments, TGA/DSC analysis (TGA/DSC SDT Q600 equipment, TA Instruments) were performed from room temperature up to  $900^\circ\text{C}$ , with a heating rate of  $10^\circ\text{C}/\text{min}$ .

### Structural and microstructural characterization

The crystal structure of precursors and calcined powders was determined by X-ray diffraction analysis with a D2-phaser diffractometer (Bruker), using Cu K $\alpha$  radiation, measurements were acquired from  $15$  to  $90^\circ$ . Average crystal size was evaluated from the XRD results using the EVA software (Bruker) which uses the Scherrer equation for this purpose. The powders morphology was observed with a JEOL JSM IT300 microscope that was also used for performing the semi-quantitative chemical analysis.

### Sinterability of prepared powders

Alumina pellets (13 mm in diameter and 2 mm in thickness) were uniaxially pressed without any binder (Atlas Manual 15 T Hydraulic Press, Specac Inc.), under 300 MPa and sintered at  $1650^\circ\text{C}$  for 2 h in a high temperature furnace (Thermolyne 46100 High Temperature

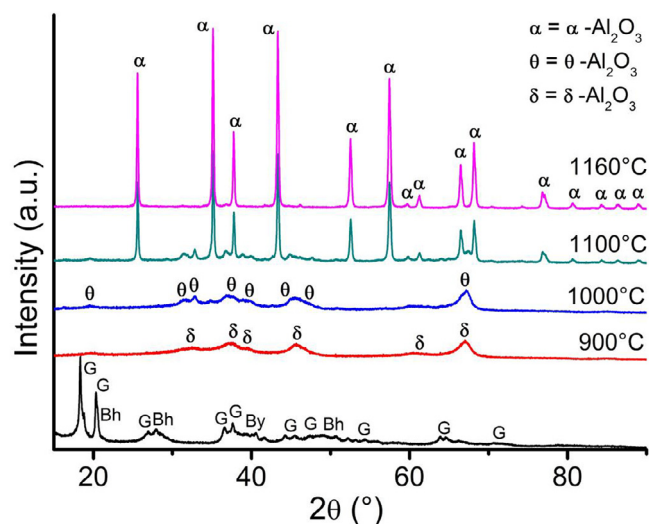


Fig. 3. XRD patterns of aluminum hydroxide and powders heat treated at different temperatures for 3 h (G = Gibbsite, Bh = Bohmite and By = Bayerite).

furnace). The heating and cooling rates were 5 °C/min and 4 °C/min, respectively. The density of the sintered samples was evaluated by the Archimedes method.

### Results and discussion

The TG and DSC results are presented in Fig. 1 for thermal decomposition of aluminum acetate (1a) and aluminum hydroxide (1b). TGA profiles shows a total ceramic yield of 40 and 65 wt% corresponding to the formation of Al<sub>2</sub>O<sub>3</sub> from aluminum acetate and aluminum hydroxide, respectively. For the aluminum acetate

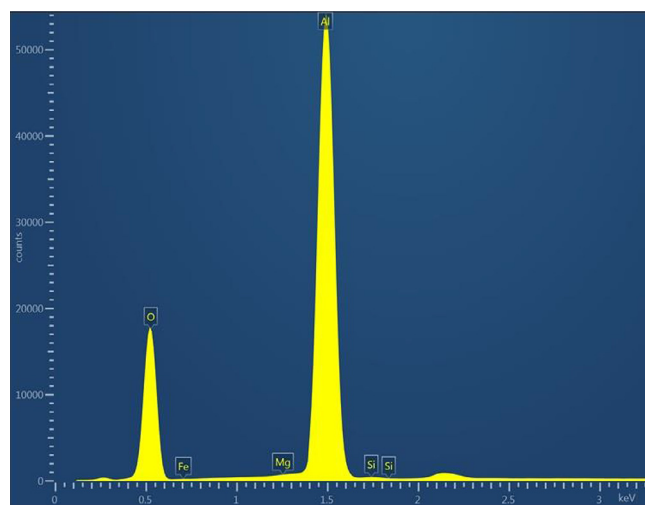


Fig. 5. EDS of ceramics powders at 1160 °C for 3 h.

Table 1

EDS results of ceramics powders at 1160 °C for 3 h.

Element	Atomic % (theoretical)	Atomic % (measured)
O	60	62.70
Mg	–	0.01
Al	40	36.99
Si	–	0.20
Fe	–	0.10
Total	100.00	100.00

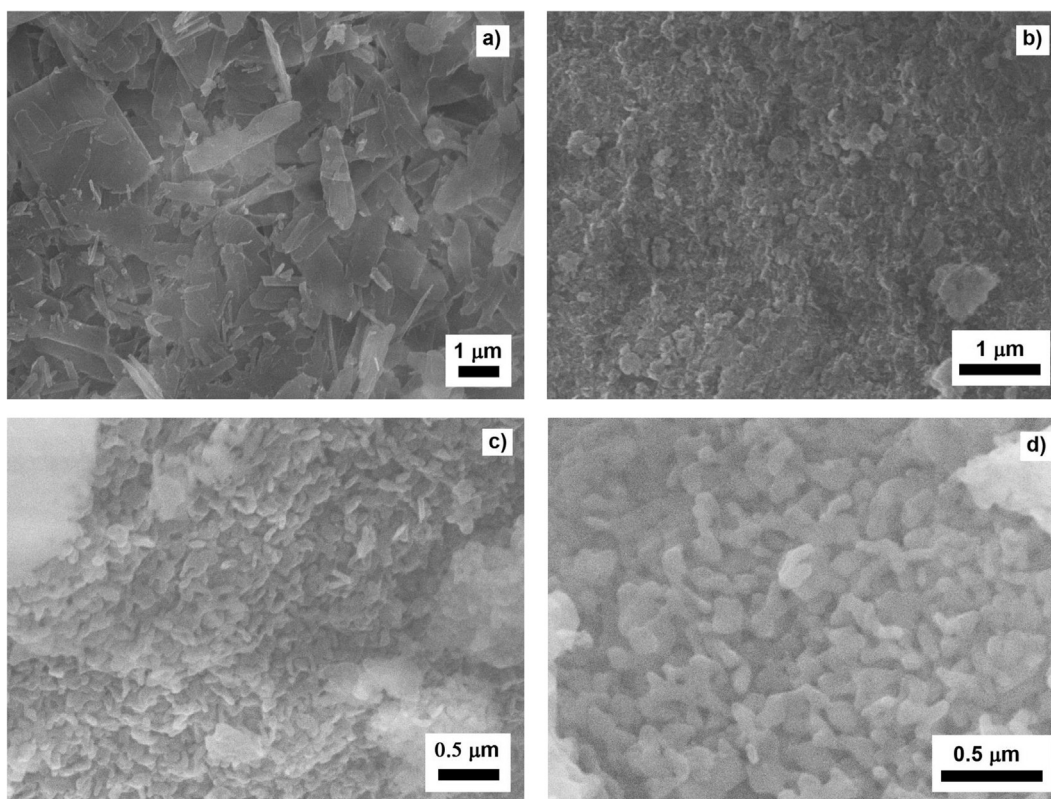


Fig. 4. SEM images of precursors: (a) aluminum acetate, (b) aluminum hydroxide at 1100 °C for 3 h; (c) α-Al<sub>2</sub>O<sub>3</sub> from aluminum acetate and (d) α-Al<sub>2</sub>O<sub>3</sub> from aluminum hydroxide.

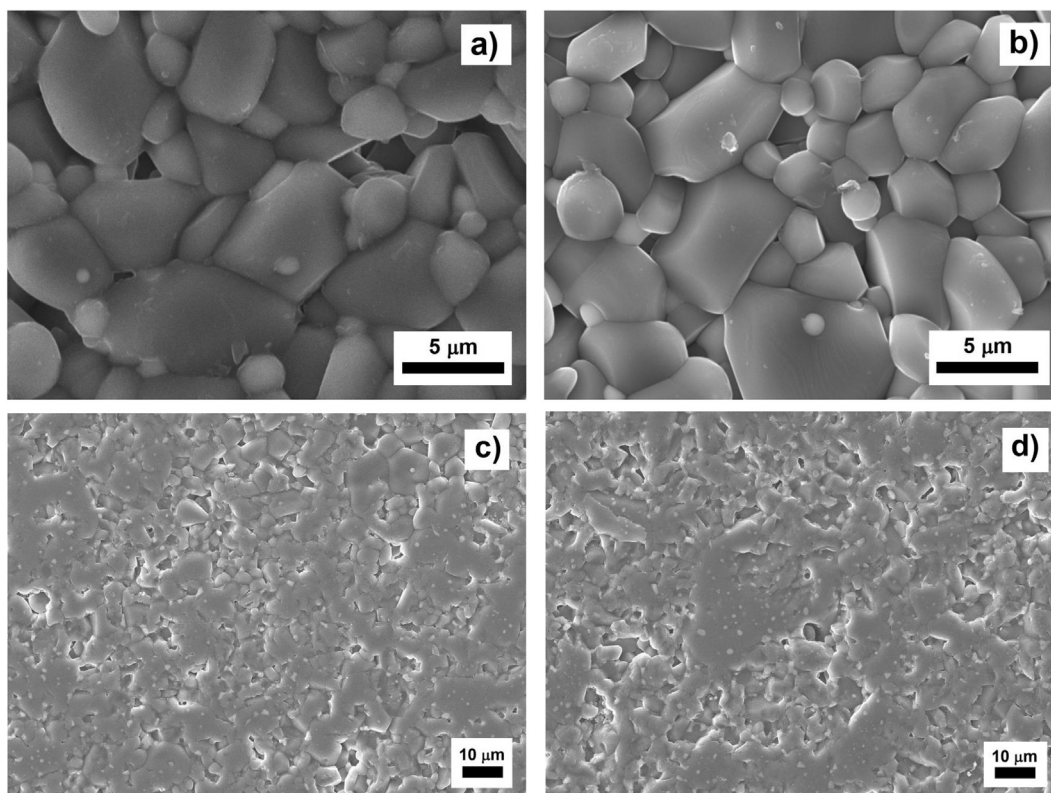


Fig. 6. SEM images of as sintered  $\alpha$ - $\text{Al}_2\text{O}_3$  prepared from (a) aluminum acetate and (b) from aluminum hydroxide, (c), (d) corresponding polished and thermally etched samples.

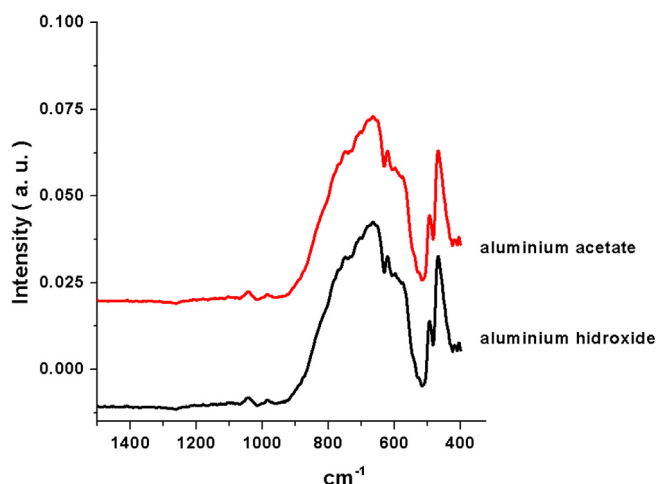


Fig. 7. Infrared spectra of as sintered  $\alpha$ - $\text{Al}_2\text{O}_3$  prepared from aluminum acetate and aluminum hydroxide.

decomposition, there is a small but continuous loss of mass from room temperature to 300 °C. This could be ascribed to the loss of physically and chemically bonded water. At 325 °C begins the decomposition of aluminum acetate (formation of gamma alumina, not shown in the XRD results), that almost finished at 500 °C which completely agrees with previous studies [1,20–28]. In the heat flow plot, burning of acetate release heat which is clearly observed. More importantly, at 811 °C a small release of energy is observed, which coincide with  $\gamma$ - $\text{Al}_2\text{O}_3$  to  $\delta$ - $\text{Al}_2\text{O}_3$  transformation (detected by XRD for sample at 900 °C). Analysing the thermal decomposition of aluminum hydroxide (Fig. 1b), it is clearly seen that between room temperature and 175 °C, the weight loss was related to the physically absorbed water (~7%), while at 200 °C begins the loss of chemically bonded water (dehydration of gibbsite, the

main component of the synthesized aluminum hydroxide from cans) which is almost complete at 500 °C. This agrees with previous reports where the final dehydration of boehmite ( $\text{Al}_2\text{O}_3\cdot\text{H}_2\text{O}$ ) to  $\gamma$ - $\text{Al}_2\text{O}_3$  takes place close to 450 °C [21–28]. The heat flow coincides perfectly with the events described above. Finally, regarding the thermal decomposition of aluminum acetate and hydroxide. It is worth noting that the total weight loss in aluminum hydroxide is close to 40%, while is ~60% for aluminum acetate. This difference is because during the aluminum acetate heat treatment, a great amount of organic fraction is eliminated. Meanwhile, only physically and chemically linked water is eliminated during heat treatment of aluminum hydroxide. This last result agrees with the XRD analysis, were gibbsite ( $\text{Al}_2\text{O}_3\cdot 3\text{H}_2\text{O}$ ), boehmite ( $\text{Al}_2\text{O}_3\cdot\text{H}_2\text{O}$ ) and bayerite (a polymorph of gibbsite with the same composition) were identified.

In Fig. 2a the XRD of aluminum cans is observed. As expected, aluminum cans are almost 100% pure as reported in the literature, where manganese, magnesium and iron are the main impurities [14], which represent a total of 2.6%. The impurity (marked with arrows) is assigned to MgO that coincides with the amount of Mg present as impurity in aluminum cans. Analysing the results from the two processes for the synthesis of  $\alpha$ - $\text{Al}_2\text{O}_3$ , for the acetate route, the XRD patterns for aluminum acetate before and after heat treatment at 900–1160 °C are shown in Fig. 2b. The data for aluminum acetate match with the 13–0833 PDF crystallographic card reported before [1]. The powders calcined at 1100 and 1160 °C for 3 h have pure  $\alpha$ - $\text{Al}_2\text{O}_3$  phase (PDF 04–004–2852).  $\alpha$ - $\text{Al}_2\text{O}_3$  was obtained at relatively low temperature compared with the recycling process using  $\text{H}_2\text{SO}_4$  for producing aluminum sulfate [13,16] where 1200 °C for 3 h are needed for synthesis of  $\alpha$ - $\text{Al}_2\text{O}_3$  pure phase. Furthermore, no toxic gases are produced when acetic acid is used instead of the toxic  $\text{SO}_3$  produced after heat treatment of  $\text{Al}_2(\text{SO}_4)_3\cdot 18\text{H}_2\text{O}$  Compared with another route for recycling aluminum cans [14], it was reported a lower synthesis temperature (900 °C for 2 h), but magnesium aluminate ( $\text{MgAl}_2\text{O}_4$ ) spinel impurity

phase was observed. Also, better results are obtained comparing with the synthesis of alumina from acetates and formates which require as high as 1200 °C for synthesis  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> [1,17]. On the other hand, low temperature synthesis of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was reported using the Pechini [18] and precipitation [19] methods, starting with commercial reagents which represent more expensive and complex routes.

In Fig. 3 the XRD of aluminum hydroxide and heat-treated powders derived from the HCl-NaOH method are shown. The aluminum hydroxide precursor consists of a mixture of Gibbsite (G = Al<sub>2</sub>O<sub>3</sub>·3H<sub>2</sub>O), Bohmite (Bh = Al<sub>2</sub>O<sub>3</sub>·H<sub>2</sub>O) and Bayerite (By = Al<sub>2</sub>O<sub>3</sub>·3H<sub>2</sub>O, a gibbsite polymorph). Comparing the XRD patterns of powders from both routes, it is clearly seen that in the case of aluminum acetate route, before crystallization of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub>, only  $\delta$ -Al<sub>2</sub>O<sub>3</sub> was obtained. On the other hand, for the HCl-NaOH route, powders at 900 °C only consist of  $\delta$ -Al<sub>2</sub>O<sub>3</sub> phase, meanwhile after heat treatment at 1000 °C a mixture of  $\delta$ -Al<sub>2</sub>O<sub>3</sub> and  $\theta$ -Al<sub>2</sub>O<sub>3</sub> phases was obtained. Finally, after heat treatment at 1160 °C  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was the main phase with a small amount of residual  $\theta$ -Al<sub>2</sub>O<sub>3</sub>.

The morphology of untreated precursors and heat-treated powders at 1100 °C from both methodologies are observed in Fig. 4. The SEM images of aluminum acetate precursor reveals a flake like morphology with some micrometers of crystal mean size. The aluminum hydroxide consists of smaller crystal agglomerates. It is apparent that this feature influences the final crystal size of calcined samples, as can be seen in Fig. 4c and d. Heat treated powders at 1100 °C for 3 h are agglomerates of submicrometer crystals. From the X-ray results, the measured crystal size was 54 and 58 nm for samples obtained from aluminum acetate and aluminum hydroxide, respectively.

In order to give some insight on the chemical composition of ceramics powders derived from the HCl-NaOH route, EDS analysis was performed on sample at 1160 °C and are shown in Fig. 5 and summarized in Table 1. From the EDS graph, it is clearly seen that most of the X-ray measured correspond to aluminum and oxygen. The measured atomic percentages are very close to the theoretical values, with some impurities, which have been identified in previous studies [22] and completely agree with our results. The measured density for sintered pellets derived from both methods reveals that high density samples are obtained. These values range from 3.87 to 3.91 g/cm<sup>3</sup> which represent 97–98% of theoretical density (3.987 g/cm<sup>3</sup>) [27,28].

The SEM images for sintered samples are presented in Fig. 6. The average crystal size determined by ImageJ software was 3.26 and 3.46  $\mu$ m for sintered samples derived from aluminum acetate and aluminum hydroxide, respectively. Fig. 7 shows IR spectra of Al<sub>2</sub>O<sub>3</sub> from aluminum acetate and aluminum hydroxide at 1650 °C for 2 h. Bands corresponding to O–Al–O bonds characteristic of alpha alumina polymorph (octahedral structure AlO<sub>6</sub>) are present in the samples at 379, 491, 565 and 633 cm<sup>-1</sup>.

## Conclusions

Synthesis of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was achieved starting from aluminum cans. Crystalline powders were in the order of  $\sim$ 55 nm in average crystal size for both chemical routes.  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was obtained at 1100 °C from aluminum acetate, while 1180 °C was required for aluminum hydroxide precursor. The sintered samples reached  $\sim$ 98% of theoretical density, demonstrating the high sinterability of produced powders. The methodologies reported in this work are practical approaches for recycling aluminum cans and producing alumina powders.

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