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# Electronic structure of isomeric graphene nanoflakes

Cesar Gabriel Vera de la Garza, Gustavo López García, Esaú Martínez Olmedo, Estrella Ramos Peña, Serguei Fomine\*

Instituto de Investigaciones en Materiales, Universidad Nacional Autónoma de México, Apartado Postal 70-360, CU, Coyoacán, México, DF 04510, Mexico

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ABSTRACT

A comparative theoretical study of graphene nanoflakes (NFs) and isomeric NFs based on two different graphene allotropes has been carried out using hybrid density functional theory and complete active space calculations. Two graphene allotropes H1 and H2 consisted of fused azulene rings were found to be less stable compared to isomeric graphene NFs at all theoretical levels. H1 and H2 have closed shell singlet ground state independently on their size and strong bond length alternation. For all types of NFs, the evolution of the ionization potential (IP), electron affinity (EA) and band gap ( $E_g$ ) with size is similar. IP and  $E_g$  drop and EA increases with NF size. H1 and H2 show lower IPs and  $E_gs$  and higher EAs compared to the corresponding graphene NF of the same size. However, IPs,  $E_gs$  and EAs converge with size for all three types of the NF becoming nearly identical for the largest representatives of H1, H2 and graphene NF. H1 and H2 types of NF have a non-uniform distribution of the electron density across the NF, unlike graphene systems, which makes them promising candidates for regioselective chemical modification.

# 1. Introduction

Graphene is a monolayer two dimensional (2D) network consisting of the infinite number of fused benzene rings [1]. Since more than a decade graphene has been recognized as a promising and in many aspects revolutionary material for its extraordinary magnetic [2] and electronic [3] properties, namely - extremely high charge carrier mobility, room temperature quantum Hall effect, ambipolar field effect and outstanding mechanical properties [2-8] to mention a few. The exceptional properties of graphene and the need for novel materials have stimulated numerous studies on graphene modification, by introducing chemical defects either by oxidation or by doping with heteroatoms [9,10]. Another approach is the generation of the topological defects such as vacancies and dislocations. The dimensionality reduction is another efficient way for graphene modification [11,12]. Doping with heteroatoms modifies electron affinity (EA) and ionization potentials (IP) of graphene-based materials, while the dimensionality reduction from 2D (graphene) to 1D (graphene nanoribbons) and further to 0D (graphene nanoflakes) introduces a finite band gap (Eg) in graphene-based materials due to quantum confinement effect.

All these modifications still leave intact the principal building block of graphene structure  $C_6$  sp<sup>2</sup> polygon. However, it has been theoretically discovered [13] a variety of possible planar carbon networks based on different types of polygons which could be considered as

different allotropic forms of carbon. Most of these carbon allotropes have planar structures, and all of them are less stable than graphene. Their behavior varies from metals to semiconductors according to the density functional tight binding approach (DFTB) calculations.

Among all allotropes described in [13] the structures H1-2D and H2-2D (Fig. 1) are the most stable and the most chemically relevant. They consisted of fused C5 and C7 polygons, forming 2D planar network of fused azulene rings. Azulene is an isomer of naphthalene and similarly to naphthalene, azulene is an aromatic molecule according to Hückels rule, H1-2D and H2-2D are the only graphene allotropes with aromatic building blocks. Azulene itself shows strong intramolecular charge transfer and smaller HOMO-LUMO gap compared to its more stable isomer - naphthalene. These two graphene allotropes have been earlier explored within DFTB framework, moreover, H1-2D has been studied at general gradient approximation (GGA) level using PBE functional more than 10 years ago [14]. The above-mentioned allotropes are referred to as haeckelites [14]. The fragments of haeckelite structures can be found as topological defects in graphene [15]. They are called Stone-Wales-type defects. These defects can be formed by single electron impact at energies just below the bond dissociation threshold [15]. Therefore, although haeckelites have not been synthesized yet, they are metastable structures with the activation energies of isomerization which are high enough, to make haeckelites metastable at room temperature without rearrangement to graphene. This

E-mail address: fomine@unam.mx (S. Fomine).

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<sup>\*</sup> Corresponding author.



**Fig. 1.** HSE06/def2-SVP optimized singlet states of two graphene allotropes and graphene supercells. The bond lengths increase from red to green color. The shortest and the longest bond lengths are given in Å. The bond length in graphene is black. Supercell parameters are given in Å and degrees. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

observation is inspiring, since there are no theoretical obstacles to synthesize haeckelites. Unlike graphene, haeckelites are metals according to DFTB and GGA calculations [13,14]. The electronic properties of haeckelites are quite different from those of graphene which opens up a new field of applications for haeckelites. Closely related to haeckelites, 1D fused azulene oligomers, for instance, were found to have a ferromagnetic ground state [16].

As it has been mentioned above, the electronic properties of carbon  $sp^2$  networks depend on their dimensionality. The electronic properties of 0D systems – nanoflakes (NFs) can be tailored by changing their size and shape [17]. The goal of this study is to explore the effect of size on the electronic properties of the NFs derived from these new carbon allotropes (Fig. 1) and to compare them with those of the corresponding graphene NFs.

It is well known that graphene NFs and nanoribbons possess multiconfigurational and sometimes high spin ground states [17–19]. DFTB framework and even GGA are not rigorous enough to correctly describe electronic properties of such systems. We used a combination of hybrid DFT and complete active space (CASSCF) method to explore haeckelite NFs. Hybrid DFT possesses reduced self-interaction error compared with pure or GGA DFT [20]. Self-interaction error is responsible for the overdelocalization problem in conjugated systems. CASSCF takes into account static correlation missed in single reference methods like DFT.

# 2. Computational details

The geometry optimizations of two haeckelite type NFs and graphene NFs, (Fig. 2) were carried out at by two methods; D3bj [21] dispersion corrected BHandHLYP [22], using Turbomole 7.2 code [23] and screened hybrid functional HSE06 [24] using Gaussian 16 suit of programs [25]. For all calculations was used the same def2-SVP basis set [26]. The electronic structures of three 2D systems; graphene, and 2 haeckelite allotropes (Fig. 2) have been calculated, for the comparison purpose too, using periodic boundary conditions (PBC) method coupled with HSE06 functional. HSE06 functional is very reliable in reproducing ( $E_g$ ) in semiconductors. Being a hybrid, HSE06 has a reduced self-interaction error [20], which is important for the correct description of the conjugated systems. Moreover, HSE06 functional delivers reliable results not only for the periodic systems but also for small molecules. This is an important point since it makes possible a comparison of PBC

results with those for molecules. For all optimized structures including 2D systems, frequency calculations were run to ensure that the found structure is a minimum on the potential energy surface. All structure have positive lowest mode vibrations as can be seen from the Table 1 being structurally stable.

BHandHLYP functional predicts very well geometries of conjugated systems [27]. The adopted model reproduced exactly the carbon-carbon bond lengths in graphene (1.42 Å, [28]) taking as a model the largest studied graphene NF **G4**. However, given the multireference character of the ground states found in many graphene NFs and polyacenes [18], a single reference method like DFT generally overstabilizes high spin states [29] due to spin contamination, since <  $S^2$  > operator and unrestricted Hamiltonian do not commute. This frequently leads to such an artifact as a high spin ground state. Therefore, the energy evaluation has been carried out using CASSCF single point calculation coupled with 6-31G(d) basis set using BHandHLYP/def2-SVP optimized geometries. The active space of 12 electrons and 12  $\pi$  orbitals was used for energy evaluation, which was the largest active space computationally practical. Gaussian 16 code was used for CASSCF calculations.

Different spin states of the NFs were optimized at DFT level to locate the ground state. However, CASSCF single point energies were used to determine the ground state. For azulene and naphthalene, the active space was composed of 10 electrons and 10 orbitals, which is a complete  $\pi$ -electron active space for these molecules. For the analysis of the polyradical character of the ground states of the NFs, the Head-Gordon formula (1) has been used [30]

$$N_{u} = \sum_{i=1}^{M} n_{i}^{2} (2 - n_{i})^{2}$$
<sup>(1)</sup>

where  $N_u$  is the number of effectively unpaired electrons,  $n_i$  is the occupation of i-*th* natural orbital and M is a total number of active orbitals.

In all cases, the stability analysis of the closed shell singlet wavefunction has been run and in case of found instability, the geometry was reoptimized using unrestricted "open shell singlet" broken symmetry (BS) wavefunction. The E<sub>g</sub>s of all NFs have been estimated by two methods; as  $S0 \rightarrow S1$  excitation energies calculated at the time-dependent (TD) DFT level of theory using new MN15 functional [31] coupled with def2-SVP basis set, and as HOMO-LUMO energy difference from HSE06 calculations. The last method allows direct comparison of E<sub>g</sub>s for the NFs and 2D systems. BHandHLYP optimized geometries were used for TD-DFT calculations. This model reproduces very well the lowest excitation energy of nanocene. The  $S0 \rightarrow S1$  excitation energy predicted with this model is of 1.41 eV, very close to the experimentally observed 1.43 eV [32].

The density of states (DOS) plots were calculated using the Gaussian smearing of the energy levels for each band, followed by a histogram sampling. The Gaussian broadening applied to the eigenvalues is 0.2 eV.

## 3. Results and discussion

# 3.1. The ground state nature of haeckelite and graphene nanoflakes

The minimized ground state geometries of the NFs are shown in the Fig. 2. The molecular geometries were optimized for different spin states at BHandHLYP/def2-SVP level to detect the ground state. The results are listed in table 1. BHandHLYP/def2-SVP model predicts very well singlet-triplet (S-T) splitting for naphthalene and azulene (Table 2).

According to the Ovchinnikov rule [33] the total spin of the ground state for alternant conjugated hydrocarbon is  $(N_a - N_b)/2$  where  $N_a$  and  $N_b$  are the numbers of colored (a-type) and uncolored (b-type) atoms. When  $N_a = N_b$  as in **Gn** NFs, the ground state must be singlet. The studied carbon allotropies **H1-n** and **H2-n** NFs are different. They



Fig. 2. BHandHlyp/def2-SVP optimized ground state geometries of studied nanoflakes. The bond lengths increase from red to green color. The shortest and the longest bond lengths are given in Å. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Table 1

The lowest frequency normal vibrations of isomeric graphene nanoflakes including 2D systems optimized at HSE06/def2-SVP level ( $cm^{-1}$ ).

System	H1-n	H2-n
1	76.4	97.2
2	6.0	19.6
3	15.7 <sup>a</sup>	12.1
4	1.36 <sup>a</sup>	15.4 <sup>a</sup>
2D	130.4	132.2

<sup>a</sup> The structures are not totally plane (Fig. 4).

are not alternant and, therefore, the Ovchinnikov rule does not apply to them. Therefore, there is no way of knowing a priori the nature of their ground states.

For small members of **Gn**, **H1-n** and **H2-n** NFs, where spin contamination is still small (up to **G1**, **H1-2**, and **H2-2**), BHandHLYP/def2-SVP model should deliver reliable S-T splitting. Closed shell singlet was found to be unstable, starting from **G1**, **H1-2**, and **H2-2**, the ground state becomes "open shell singlet"- a result of broken symmetry solution with  $M_s = 0$ . All larger NFs show very high spin contaminations at BHandHLYP level, even for triplet states, therefore, their relative energies may be unreliable. As seen, BHandHLYP/def2-SVP model predicts singlet state ( $M_S = 0$ ) to be the ground state for all NFs except for G3, where triplet state has lower energy. Strong spin contamination is a possible indication of a multiconfigurational character of the wavefunction. Table 2 shows results of single point CASSCF(12,12) calculations for studied NFs using BHandHLYP optimized geometries. As seen, CASSSCF reproduces very well S-T splitting of naphthalene and azulene. Since CASSCF method lacks spin contamination problem and treats qualitatively correctly the multiconfigurational wavefunctions, it reproduces well S-T splitting for multiconfigurational systems. As seen from the Table 2, although S-T splitting decreases with the NF size for all systems, the ground state remains singlet in agreement with the Ovchinnikov rule for Gn NF's [33], unlike DFT artificially overstabilizing triplet states due to spin contamination. Although naphthalene has larger S-T splitting than azulene, S-T splitting decreases more rapidly with size for graphene NFs compared to haeckelite NFs. Especially large S-T splitting is observed for H2 series, where the difference between triplet and singlet state is higher than 10 kcal/mol, even for the largest H2-4 NF. Fused aromatic systems like graphene NFs and

#### Table 2

. Relative energies of singlet ( $M_S=0$ ) and triplet states ( $M_S=1$ ) estimated at different theoretical levels and  $\,<\,S^2\,>\,$  expectation values for DFT solutions.

Molecule	$M_{S}=0$	$< S^{2} >$	$M_S = 1$	$< S^2 >$				
BHandHLYP/def2-SVP								
Naphthalene	0	0	62.6	2.06				
G1	0	0	47.8	2.10				
G2	0 <sup>a</sup>	2.00	10.7	2.30				
G3	10.97 <sup>a</sup>	2.68	0	4.63				
G4	0 <sup>a</sup>	6.76	35.1	5.94				
Azulene	0	0	38.3	2.06				
H1-1	0	0	36.5	2.07				
H1-2	0 <sup>a</sup>	0.25	5.92	2.45				
H1-3	0 <sup>a</sup>	2.28	6.65	2.19				
H1-4	0 <sup>a</sup>	2.94	0.14	4.33				
H2-1	0	0	25.4	2.15				
H2-2	0 <sup>a</sup>	0.64	7.02	2.32				
H2-3	0 <sup>a</sup>	0.95	6.78	2.65				
H2-4	0 <sup>a</sup>	1.60	0.21	3.16				
CASSCF/6-31G(d)//BHandHLYP/def2-SVP								
Naphthalene	0	-	63.7	-				
G1	0	-	49.3	-				
G2	0	-	10.5	-				
G3	0	-	4.64	-				
G4	0	-	1.19	-				
Azulene	0	-	40.1	-				
H1-1	0	-	41.8	-				
H1-2	0	-	32.7	-				
H1-3	0	-	12.9	-				
H1-4	0	-	1.73	-				
H2-1	0	-	36.8	-				
H2-2	0	-	41.7	-				
H2-3	0	-	24.5	-				
H2-4	0	-	12.4	-				

<sup>a</sup> Broken symmetry solution.



Fig. 3. Occupation numbers of active orbitals in haeckelite and graphene nanoflakes in CASSCF (12, 12)//BHandHLYP/def2-SVP calculations.

polyacenes frequently have polyradical ground states [18,34]. We calculated the number of effectively unpaired electrons for all three types of NFs using the occupations of active orbitals from CASSCF calculations as described in Computational Details section. The results are shown in Fig. 3.

As seen, there is a notable difference between graphene and haeckelite NFs. For graphene NFs the number of effectively unpaired electrons increases rapidly from less than 0.1 for naphthalene to more than 6 for G4. NFs G3 and G4 have clearly polyradicalic antiferromagnetic ground states. In case of haeckelite NFs H1-n and H2-n, the situation is very different. In spite of strong spin contamination of BS solutions with  $M_s = 0$ , the number of effectively unpaired electrons remains low for all NF sizes, being around 0.2. Therefore, unlike graphene NFs, the ground state of both types of haeckelite NFs can be described as closed shell singlet systems.

## 3.2. The geometry of the nanoflakes and 2D systems

Fig. 2 shows optimized geometries of haeckelite and graphene NFs optimized at dispersion corrected BHandHLYP/def2-SVP method for the spin state with  $M_s = 0$ . According to the selected color scheme, the shortest bonds are red and the longest are green. As seen from the Fig. 2, the most important difference between haeckelite and graphene NFs is the bond length alternation (BLA) pattern. The BLA is much greater in haeckelite systems compared to graphene ones. BLA pattern in graphene NFs is the most notable at the edges where the formation of the quinoid pattern is clearly seen. The shortest C–C bonds are between 1.35 and 1.36 Å and the largest is between 1.43 and 1.44 Å, independent on the size of the NF. The BLA pattern grows smaller in the center of the graphene NFs, and it decreases even more with the NF size. Thus, for the largest graphene NF, G4, the bond lengths in the central benzene rings are close to 1.42 Å, similar to C–C bonds of graphene.

Both **H1-n** and **H2-n** have very different BLA patterns from graphene, resembling that of azulene where the largest bond is the bond that fuses pentagon and heptagon rings. The selected computational model also reproduces very well the experimental bond lengths in azulene [35] where the largest C–C bond is of 1.48 Å. The BLA pattern of the NFs barely depends on the NF size for graphene, however, BLA slightly increases with size for **H1-n** and **H2-n** type of NFs. Similar to graphene NFs, the edge C–C bonds are notably shorter compared to internal ones.

Fig. 1 shows three optimized supercells with the same number of atoms for closed shell singlet state; two 2D allotropes (H1-2D, H2-2D) and graphene (G-2D). The color scheme is similar to Fig. 2. HSE06 functional have been used for the optimization of all 2D structures. According to our test calculations using HSE06 functional, the bond lengths for graphene NFs are 0.01 Å longer compared to the dispersion corrected BHandHLYP model. As seen from the Fig. 1, both graphene allotropes maintain BLA pattern, characteristic of the NFs. Slightly reduced BLA in H1-2D and H2-2D compared to the corresponding NFs could be related to a smaller fraction of the Hartree-Fock exchange in HSE06 functional, compared to BHandHLYP. On the other hand, graphene shows no BLA pattern at all, with all C–C bond length of 1.42 Å in accordance with the experiment.

The most of the nanoflakes and all 2D systems are structurally stable in their planar form as follows from the frequency analysis, except for H1-3, H1-4 and H2-4. Their optimized geometries are shown in Fig. 4. As seen, they are slightly bent. This effect is the most notable for H1-n



Fig. 4. HSE06/def2-SVP optimized structures of H1-3, H1-4 and H2-4 nano-flakes.

series and much less for isomeric **H2-n** nanoflake where the largest nanoflake is almost flat. In the case of these three nanoflakes their planar structures have one imaginary frequency, corresponding to out of plane bending vibrations. The energy difference between planar and bent structures for **H1-3**, **H1-4** and **H2-4** are rather small, being of 0.83, 3.99 and 0.06 kcal/mol at HSE06/def2-SVP level of theory, respectively. The most notable difference between planar and bent structure shows **H1-4**, however even in this case the difference between calculated IP and EA for planar and bent structures are less than 0.01 eV. Therefore, we believe that planar structures are good enough for the calculations of the electronic properties.

# 3.3. The relative stability of haeckelite and graphene nanoflakes

The relative stability of **H1-2D** and **H2-2D** systems have already been estimated using DFTB approach [13] which predicts **H2-2D** to be 3.46 kcal/mol per carbon atom more stable than **H1-2D** and 7.10 kcal/ mol per carbon atom less stable than graphene. We have estimated the relative stability of graphene allotropes and the corresponding NFs including azulene and naphthalene at different theoretical levels; HSE06, dispersion corrected BHandHLYP functionals and at CASSCF level, using BHandHLYP optimized geometries. The results are listed in Table 3.

The results of DFTB and hybrid DFT calculations are in qualitative agreement for 2D systems pointing to the **H2-2D** system as the most stable, however, HSE06 functional predicts notably smaller energy difference between two graphene allotropes, only of 0.5 kcal/mol per carbon atom. Similarly, to the prediction of the energy difference between graphene allotropes, HSE06 functional predicts smaller energy difference between graphene and the most stable haeckelite **H2-2D**, (5.9 kcal/mol per carbon atom). The available theoretical data for the relative stability of **H1-2D** and graphene using GGA approximation (PBE functional) in combination with plane wave basis set give 6.0 kcal/mol per carbon atom [14], close to 6.4 kcal/mol per carbon atom estimated in this work with HSE06 functional.

In case of NFs the relative stability depends on the NF size. We included the experimental energy difference between azulene and naphthalene to test our calculation model. HSE06 slightly underestimates the energy difference, while BHandHLYP and CASSCF overestimate it. For large NFs, however, where graphene NFs show notable multireference character of the ground states, CASSCF results seems to be more reliable. For the largest NFs, the results are in qualitative agreement with the results found for 2D systems. All methods predict that **G4** is the most stable NF followed by **H2-4** and then by **H1-4**. For the smallest NFs, **H1-1**, and **H1-2** all methods indicate that **H1-1** is the most stable isomer with the energy difference of only 0.1 kcal/mol per atom. This trend still maintains for the second NF generation. However, HSE06 predicts similar energy for both of them. In the case of third NF generation, both, BHandHLYP and CASSCF point to **H2-3** as the most stable isomer, while HSE06 predicts **H1-3** to be the most stable one.

#### Table 3

. Energy difference between H1-n, H2-n and Gn NFs including 2D systems (kcal/mol per carbon atom).

System	HSE06/def2-SVP		BHandHL	BHandHLYP/def2-SVP		CASSCF/6-31G(d)	
	H1-n	H2-n	H1-n	H2-n	H1-n	H2-n	
Azulene <sup>a</sup>	3.5	3.5	3.9	3.9	5.5	5.5	
1	4.3	4.4	4.8	4.9	5.8	5.9	
2	3.9	3.9	4.5	4.6	4.2	4.3	
3	4.6	4.9	5.2	4.7	5.6	5.0	
4	4.6	4.4	5.6	4.7	5.6	5.2	
2D	6.4	5.9	-	-	-	-	

<sup>a</sup> The experimental energy difference between azulene and naphthalene is 3.7 kcal/mol per carbon atom [41].



Fig. 5. Normalized density of states (Electrons/eV) against energy (eV) for singlet states from PBC HSE06/def2-SVP calculations for graphene (G-2D) and two graphene allotropes (H1-2D and H2-2D) Energy.

Overall, BHandHLYP and CASSCF seem to deliver more consistent results than HSE06 functional. For small NFs **H1-n** are slightly more stable than **H2-n**. This stability order is changed with size and then maintains for infinite 2D systems.

## 3.4. Energy gaps, ionization potentials, and electron affinity

The Fig. 5 shows DOS for H1-2D, H2-2D and graphene as a reference, scaled from 0 to 1 (assigning the 1 for the highest value of DOS for each system). X-axis range is limited to 4 eV near the Fermi level for better viewing.

The results of PBC calculations at HSE06 level agree with the results of DFTB [13] and PBE calculations [14] where both allotropes, H1-2D and H2-2D were found to be metals. As seen, H1-2D and H2-2D have non zero density of states at Fermi level, while in case of graphene the density of states is close to 0 at this point. Unlike 2D systems all NFs as expected show finite  $E_g$ .

Figs. 6 and 7 show the evolution of Eg with the NF size. The Egs have been calculated as HOMO-LUMO energy differences of HSE06/def2-SVP optimized structures and also as  $S0 \rightarrow S1$  excitation energies using BHandHLYP/def2-SVP minimized geometries at TD-MN15/def2-SVP level. Estimated Egs can be compared with available experimental data for naphthalene (4.35 eV) [36], azulene 2.14 eV [37] and pyrene (3.81 eV) [36] (G1). A simple HOMO-LUMO energy difference overestimates  $E_g$  in azulene by some 0.75 eV, underestimates it in case of pyrene by 0.5 eV and reproduces the excitation energies of naphthalene within 0.05 eV. TD-DFT calculations agree much better with experiment, the error for naphthalene, azulene, and pyrene does not exceed 0.3 eV. However, both methods of the  $E_g$  determination reveal the similar behavior of  $\mathrm{E}_{\mathrm{g}}$  with NF size. The  $\mathrm{E}_{\mathrm{g}}$  decreases with NF size for all systems and H1-n and H2-n NFs always have smaller E<sub>g</sub> than the corresponding Gn NFs. This difference, however, decreases with the NF size. While the  $E_{\sigma}$  difference between naphthalene and azulene is of 1.5-2 eV, depending on the estimation method, for the largest NF this difference is reduced to less than 0.5 eV and  $E_{\sigma}$  disappeared completely for graphene and their allotropes, H1-2D and H2-2D. Both methods: the HOMO-LUMO energy difference and TD-DFT excitation energies; predict very similar behavior for Eg with size for H1-n and H2-n NF types without any important differences. As seen from the Figs. 6 and 7 there is a very good linear correlation between reciprocal of the number of carbon atoms and Eg for all three NF types and for all calculation methods. The R-squared is more than 0.9 for all NF types and all methods of Eg estimation. This behavior is very similar to that of conjugated polymers [38].



Fig. 6. The band gap (E<sub>g</sub>) evolution with the reciprocal of the number of carbon atoms (1/N) for H1-n (a), H2-n (b) and Gn nanoflakes including H1-2D, H2-2D, and G-2D systems, estimated as HOMO-LUMO energy difference at HSE06/def2-SVP level.



Fig. 7. The band gap ( $E_g$ ) evolution with the reciprocal of the number of carbon atoms (1/N) for H1-n (a), H2-n (b) and Gn (c) nanoflakes estimated as S0-  $\rightarrow$  S1 excitation energies at TD-MN15/def2-SVP level for dispersion corrected BHandHLYP/def2-SVP optimized geometries.



Fig. 8. Ionization potential (IP) evolution with the reciprocal of the number of carbon atoms (1/N) for H1-n (a), H2-n (b) and Gn (c) nanoflakes at BHandHLYP/def2-SVP level of theory.



Fig. 9. Electron affinity (EA) evolution with the reciprocal of the number of carbon atoms (1/N) for H1-n (a), H2-n (b) and Gn (c) nanoflakes at dispersion corrected BHandHLYP/def2-SVP level of theory.

Figs. 8 and 9 show the evolution of adiabatic IPs and EAs with the NF size (the reciprocal of the number of atoms). Similar to  $E_g$ , there is a good linear correlation (R-squared > 0.9) for both IP and EA with the reciprocal of the number of atoms. For all NF types, EA increases and IP drops with NF size. BHandHLYP/def2-SVP model has been used for the evaluations of IP and EA. This model correctly predicts negative electron affinity for naphthalene [39] and positive one for azulene [40]. Graphene NFs have higher IP and lower EA compared to H1-n and H2-

**n** NF size, similar to naphthalene compared to azulene. However, in the case of graphene NFs, their IP and EA change faster with size and for the largest NFs **G4**, **H1-4** and **H2-4** IP and EA become very close. This is due to much smaller BLA pattern in graphene NFs compared to **H1-n** and **H2-n** ones leading to more efficient electron delocalization with size. This trend can be clearly seen inspecting HOMOs for cation- and anion-radicals for the largest representatives of the NFs of each type. In these cases, HOMOs follows the delocalization pattern for the cation polarons



Fig. 10. HOMOs of cation- and anion-radicals of H1-4 (H1-4 + and H1-4-), H2-4 (H2-4 + and H2-4-) and G4 (G4 + and G4-) estimated at dispersion corrected BHandHLYP/def2-SVP level.



Fig. 11. Electrostatic potential mapped onto total electron density from CASSCF(12,12)/6-31G(d)//BHandHLYP/def2-SVP calculations for singlet states of H1-4, H2-4 and G4 nanoflakes.

and the polaron anions, respectively.

As seen from the Fig. 10, the delocalization patterns of the cation polaron and the anion polaron in G4 involve the entire NF, while in the case of H1-4 and H2-4, both HOMO of the cation and anion radicals are more localized. This difference contributes to the slower change of the

IP and EA in **H1-n** and **H2-n** NFs compared to **Gn** systems. The electron confinement in the haeckelites NFs can be directly observed from Fig. 11 where the electrostatic potential is mapped onto total electron density for the largest NFs of three different types, as seen from the figure, the electrons are distributed rather uniformly over entire **G4**,

while in the case of **H1-4** and especially **H2-4** the electron distribution is quite different. In case of **H1-4**, the most of the electron density is concentrated in the center of the NF, while in case of **H2-4** the most of electron density is moved off the center of the molecule.

Since **G4** is plane and **H1-4** and **H2-4** are not, we also calculated the electrostatic potential mapped onto total electron density for plane **H1-4** and **H2-4** structures. The non uniform electron distribution for both plane and curved structures are very similar indicating that the origin of this phenomenon is the topological difference between the NF structures and not the curved geometry.

These data reveal the difference between graphene and haeckelite NFs. In graphene, all carbons are in the similar chemical environment which makes it difficult regioselective chemical modification of the graphene NFs. The situation is quite different for haeckelite systems, where there is a different chemical environment for different atoms, as it can be seen from the electrostatic potential maps. This will facilitate regioselective chemical modification of haeckelite NFs.

#### 4. Conclusions

All types of NFs; graphene and haeckelite were shown to have singlet ground states, although singlet-triplet gap drops notably with the NF size. Small NFs of all types have closed shell singlet ground state. The difference between the ground state natures of both NF types becomes evident for large NFs; large graphene NFs have polyradicalic antiferromagnetic ground state, while even large haeckelite NFs maintain closed shell singlet ground state. This difference is originated from BLA pattern. Haeckelite NFs have large BLA independently on their size, while graphene NFs show small BLA pattern.

Graphene NFs are more stable than the corresponding haeckelite ones, **H2-n** being more stable than **H1-n**, in accordance with DFTB data for the infinite 2D systems. The difference in stability between **H1-n** and **H2-n** NFs increases with size. For all types of NFs; **H1-n** and **H2-n**, the evolution of IP, EA and  $E_g$  with size is similar. IP and  $E_g$  drop and EA increases with size. **H1-n** and **H2-n** show lower IP and  $E_g$  and higher EA compared to the corresponding graphene NFs of the same size. However, IP,  $E_g$ , and EA converge with size for all three NF types becoming nearly identical for the largest representatives (**G4, H1-4**, and **H2-4**).

Unlike graphene NFs, haeckelite systems have a non-uniform distribution of the electron density across the NF, which makes them promising candidates for regioselective chemical modification.

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### Appendix A. Supplementary material

Supplementary data associated with this article can be found, in the online version, at https://doi.org/10.1016/j.comptc.2018.08.007.

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